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Postnominal Clauses in English and Modern Standard Arabic: A Comparative Study

presented by

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ABSTRACT

This study investigates the internal structure of selected types of postnominal clauses (PNCs) in Modern Standard Arabic (MSA) and compares them with their counterparts in English. It particularly focuses on those PNCs which follow the head noun within an NP structure. This work addresses three types of PNCs in English: Restrictive Relative Clauses, Participial Relative Clauses and Complement Clauses. With regard to PNCs in MSA, four types of PNCs are addressed in this work. These are: (i) jumlat ?al-na9t 'The adjectival 'The Clause', (ii) iumlat ?al-ħāl Circumstantial Clause', (iii) jumlat ?al-muDāf ?ilayhi 'the 'annexed to' clause' and (iv) the relative clause which is preceded by ?al ?al-mawSūla 'The Participial Relative Clause'. This study explores the main syntactic characteristics of each type of these clauses in both English and MSA.

A central topic in this work is the syntactic relation between the head noun and the following clause. It is demonstrated that PNCs in both English and MSA exhibit the same structure of the format [NP + Clause]. However, they expose different types of syntactic relations. English PNCs exhibit two types of syntactic relations: the modification relation and the complementation relation. In addition to the modification and the complementation relations, PNCs in MSA exhibit a third type of syntactic relation, namely the predication relation.

The present work is conducted within the framework of Principles and Parameters (P&P)/ Government and Binding (G&B) theory, as set out by Chomsky (1981, 1982, 1986). P&P is one of the most influential developments in the syntactic theories. This study particularly focuses on the role played by X-bar theory, theta theory, Control theory, Government theory and Case theory, in determining the internal structure of PNCs in MSA.

The present study consists of three chapters. Chapter one is introductory. It mainly highlights the aim and scope of the study as well as the language it investigates. Furthermore, it offers an overview of the theoretical framework of the study, (P&P)/(G&B) theory. This overview tackles the motivation for choosing this framework through exposing some of the major drawbacks of its predecessors: Standard theory and Extended Standard theory. Chapter two provides a literature review of the various types of PNCs, addressed in this work. This chapter is divided into two parts. The first part is concerned with exposing the various types

of the selected PNCs. This part is further subdivided into two other main sections. Section one is devoted to the main syntactic characteristics of PNCs as proposed in Traditional Arabic Grammar. Section two highlights English PNCs that correspond to the Arabic PNCs presented in the first section. The second part of this chapter overviews the main syntactic analyses of both Arabic and English PNCs proposed within the generative literature. Chapter three provides the proposed analysis for the various types of PNCs addressed in this work. The data examined in this chapter highlights the role played by X-bar theory, theta-theory, Control theory, Case theory as well as Government theory in explaining various key issues about PNCs in MSA.

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LIST OF PHONETIC SYMBOLS

The Arabic data and terms throughout the present thesis have been represented by the following phonetic symbols:

A. The Consona	nts Phonological Description	Examples
/?/	voiceless glottal stop	?asad (lion)
/b/	voiced bilabial stop	bāb (door)
/t/	voiceless alveolar stop	tufāħ (apples)
/θ/	voiceless inter-dental fricative	θawb (dress)
/j/	voiced palatal affricate	jamīl (beautiful)
/ħ/	voiceless pharyngeal fricative	ħuSā (horse)
/x/	voiceless uvular fricative	xubz (bread)
/d/	voiced alveolar stop	dub (bear)
/ð/	voiced inter-dental fricative	ði?b (fox)
/r/	voiced alveolar flap	rajul (man)
/z/	voiced alveolar fricative	zuhūr (flowers)
/s/	voiceless alveolar fricative	samā? (sky)
/š/	voiceless alveolo-palatal fricative	šams (sun)
/S/	voiceless apico-alveolar emphatic fricativ	ve Sadīq (friend)
/D/	voiced apico-dental emphatic stop	Dābit (officer)
/T/	voiceless apico-dental emphatic stop	Tā?ira (plane)
/Ð/	voiced inter-dental emphatic fricative	Đarf (envelop)
/9/	voiced pharyngeal fricative	9ayn (eye)
/ġ/	voiced uvular fricative	ġarīb (strange)
/f/	voiceless labio-dental fricative	fa?r (mouse)
/q/	voiceless uvular stop	qamar (moon)
/k/	voiceless velar stop	kitāb (book)
/1/	voiced alveolar lateral	lawn (colour)
/m/	voiced bilabial nasal	maktab (office)
/n/	voiced alveolar nasal	nagm (star)
/h/	voiceless glottal fricative	hadaya (present)
/w/	voiced bilabial semi-vowel	walad (boy)
/y/	voiced palatal semi-vowel	yad (hand)
	_	

B. Vowels:

I- Short Vowels:

/a/ short central unrounded vowel /i/ short high front unrounded vowel /u/ short high back rounded vowel

II- Long Vowels:

 $/\bar{a}/$ long central unrounded vowel

/ī/ long high front unrounded vowel

 $/\bar{\mathrm{u}}/$ long high back rounded vowel

LIST OF ABBREVIATIONS

Below is a list of the abbreviations and symbols used throughout this thesis.

Agr Agreement

acc accusative

AC Adjectival Clause

CLA Classical Arabic

COMP Complementizer

CC Complement Clause

CP Complementizer phrase

CS Construct State Structure

DP Determiner phrase

def. Definite

ECP Empty Category Principle

EPP Extended Projection Principle

EST Extended Standard Theory

gen genitive

GB Government and Binding theory

indef. indefinite

LF Logical Form

MH Modern Hebrew

MSA Modern Standard Arabic

nom nominative

NRRC Non-Restrictive Relative Clause

PF Phonetic form

P&P Principles and Parameters

PNCs Postnominal Clauses

PRC Participial Relative Clause

PSRs Phrase Structure Rules

RC Relative Clause

RRC Restrictive Relative Clause

RP Resumptive Pronoun

SC Small Clause

Spec Specifier

ST Standard Theory

TAG Traditional Arabic Grammar

TGG Transformational Generative Grammar

UG Universal Grammar

1,2,3 First, second, third persons

s Singular

d Dual

p Plural

m Masculine

md masculine dual

mp masculine Plural

ms masculine singular

f Feminine

fd feminine dual

fp feminine Plural

fs feminine singular

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Chapter One

Introduction

1.0 The Purpose and Scope of the study:

The main aim of this study is to investigate the internal structure of some types of postnominal clauses (PNCs) in Modern Standard Arabic and to compare them with their counterparts in English. This study focuses on Restrictive Relative Clauses, Participial Relative Clauses and Complement Clauses in English as well as four types of PNCs in MSA. These clause types include: (i) *jumlat ?al-na9t* 'the Adjectival Clause', (ii) *jumlat ?al-ħāl* 'the Circumstantial Clause', (iii) *jumlat ?al-muDāf ?ilayhi* 'the 'annexed to' clause' and (iv) the relative clause that is preceded by *?al ?al-mawSūla* 'the relative 'the'' (the Participial Relative Clause). This work is particularly focusing on the syntactic relation between the head noun and the following clause in both English and MSA. Furthermore, this study explores the crucial role played by (in)definiteness as a basic distinction between PNCs in MSA and their counterparts in English. This topic is tackled within the Principles and Parameters (P&P) framework. Some modules, i.e., sub-theories of this framework, particularly X-bar theory, theta theory, Control theory, Case theory and Government theory, will prove to be able to explain many key issues about PNCs in both English and MSA.

1.1 Postnominal Clauses:

PNCs, addressed in this work, are those clauses which follow the head noun within the noun phrase construction. They can be described in three different ways:

- (I) In terms of the traditional classification of clauses.
- (II) In terms of function, i.e., the syntactic function performed by the clause within the NP structure.
- (III) In terms of (non)finiteness.

Jacobs (1995) defines clauses as constructions with two phrase constituents, the first is a noun phrase which functions as the subject of the clause and the second is a verb phrase constituent which functions as the predicate. Traditionally, clauses are divided into two main

types: main (or superordinate) clauses, and subordinate (or dependent) clauses. Main clauses, i.e. independent clauses, can stand alone as sentences, as illustrated by the following example:

(1) John arrived.

Dependent clauses, on the other hand, do not stand on their own as sentences.

(2) I heard [that John arrived].

In (2), the bracketed clause *that John arrived* is a dependent clause. It is incorporated or embedded into another clause *I heard*. Therefore it is called an embedded clause and the clause in which it is embedded is called a matrix or a main clause. Having introduced the traditional classification of clauses, it is worth noting that PNCs, addressed in this work, are embedded clauses.

In terms of function, PNCs can perform various syntactic functions. With regard to PNCs in English, they can function as modifying clauses or as complement clauses. As for PNCs in Modern Standard Arabic, they can function as modifying clauses, complement clauses and predicate clauses.

In terms of (non)finiteness, PNCs can be further divided into two types: finite and non-finite clauses. Finite clauses are those which contain a finite verb, i.e., a tensed verb, or an auxiliary verb, as illustrated by the following examples:

(3) a. The news [that Bill heard] pleased him. (Finite Relative Clause)

b. The news [that their team had won] pleased them. (Finite Complement Clause)

In (3a), the bracketed clause *that Bill heard* is a postnominal, finite Relative Clause modifies the preceding head noun *the news*. In contrast, the bracketed clause *that their team had won* in (3b) is a postnominal Complement Clause which serves as a noun complement.