



Postoperative Pulmonary Complications

Essay

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in Intensive Care

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Abstract

Background: Postoperative pulmonary complications (PPC) can adversely influence a patient's clinical course following surgery and are equally as common as cardiac complications for patients undergoing non-cardiothoracic surgery.

Canet and Gallart demonstrated that Postoperative pulmonary complications were associated with a series of perioperative risk factors, such as age, smoking, chronic obstructive pulmonary disease (COPD), type of surgery, and serum albumin. A majority of these risk factors can be intervened and improved.

development of atelectasis because it is one of the primary mechanisms associated with ALI, a major cause of postoperative hypoxemia, leading to longer stay in the intensive care unit (ICU) and increased length of stay (LOS) in the hospital.

Aims: The aim of this essay is to through light on postoperative pulmonary complications concerning risk factors, diagnosis, prevention, and ICU management.

Conclusion:

Postoperative Pulmonary Complications (PPCs are an important part of the risk of surgery and prolong the hospital stay by an average of one to two weeks. Much of the literature on the assessment of perioperative risk has focused on identifying the now well-defined cardiac risk factors.

Keywords: Postoperative Pulmonary Complications, Intensive Care Unit, Chronic Obstructive Pulmonary Disease

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List of Abbreviations

ACS	: Acute coronary syndrome
ACS	: Abdominal compartment syndrome
ALI	: Acute lung injury
ARTI	: Acute respiratory tract infection
ASA	: American Society of Anesthesiologists
BMI	: Body mass index
BNP	: Brain natriuretic peptide
BUN	: Blood urea nitrogen
CABG	: Coronary artery bypass grafting
COPD	: Chronic Obstructive Pulmonary Disease
CPAP	: Continuous Positive Airway Pressure
CT	: Computed tomography
CXR	: Chest radiograph
DSA	: Digital subtraction angiography
DVT	: Deep vein thrombosis
ELISA	: Enzyme-linked immunosorbent assay
FEV1	: forced expiratory volume in 1 second
FRC	: Functional residual capacity
FRC	: Functional residual capacity

List of Abbreviations

FVC	: Forced vital capacity
HAP	: Hospital acquired pneumonia
Hib	: Haemophilus influenzae type b
ICU	: Intensive care unit
IMA	: Internal mammary artery
LOS	: Length of hospital stay
MDCT	: Multi-detector computed tomographic
MRA	: Magnetic resonance angiography
NPPE	: Negative-pressure pulmonary edema
NSQIP	: National Surgical Quality Improvement Program
OHS	: Obesity hypoventilation syndrome
OSA	: Obstructive sleep apnea
PAP	: Positive airway pressure
PE	: Pulmonary embolism
PEEP	: Positive End Expiratory Pressure
PFTs	: Pulmonary function tests
PH	: Pulmonary hypertension
PORC	: Postoperative residual curarization
PP	: Postoperative pneumonia
PPCs	: Postoperative pulmonary complications

List of Abbreviations

RSV	: Respiratory syncytial virus
RV	: Residual volume
RV	: Right ventricle
SV	: Saphenous vein
TAPSE	: Tricuspid annulus plane systolic excursion
Tc	: Technetium
TLC	: Total lung capacity
TPN	: Total parenteral nutrition
URTI	: Upper respiratory tract infection
US	: Ultrasound
VAP	: Ventilator-acquired pneumonia
VTE	: Venous thromboembolism

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Introduction

Postoperative pulmonary complications (PPC) can adversely influence a patient's clinical course following surgery and are equally as common as cardiac complications for patients undergoing non-cardiothoracic surgery. These complications include atelectasis, bronchospasm, and tracheobronchitis, which are considered self-limiting disorders known to induce perioperative hypoxemia. However, these complications may result in substantial morbidity and mortality when they progress to more severe forms or develop into respiratory failure, pulmonary embolism, postoperative pneumonia (PP), empyema, pneumothorax, acute lung injury (ALI), acute respiratory distress syndrome (ARDS), or the need for mechanical ventilation beyond 48 hours following surgery (*Tao et al., 2014*).

It is known that Postoperative pulmonary complications have a multifactorial etiology and had been defined broadly, including respiratory tract infection, pneumonia, respiratory failure, atelectasis, pleural effusion, pneumothorax, bronchospasm, and aspiration pneumonitis (*Jeong et al., 2014*).

Canet and Gallart demonstrated that Postoperative pulmonary complications were associated with a series of perioperative risk factors, such as age, smoking, chronic obstructive pulmonary disease (COPD), type of surgery, and serum albumin. A majority of these risk factors can be intervened and improved. Therefore, identifying perioperative risk factors of PPCs is an important step toward improving quality of care in surgical patients (*Canet and Gallart, 2013*).

Special attention is often given to the prevention and development of atelectasis because it is one of the primary mechanisms associated with ALI, a major cause of postoperative hypoxemia, leading to longer stay in the intensive care unit (ICU) and increased length of stay (LOS) in the hospital. Although the clinical evidence regarding Postoperative pulmonary complications prevention is often unclear and moderately strong at best, essential measures must be taken to reduce Postoperative pulmonary complications. These include carefully individualized strategies for preventing atelectasis and aspiration of oral secretions, restoring functional residual capacity, and increasing the patient's ability to mobilize and expectorate secretions (*Hodgson et al., 2015*).

Success of postoperative recovery is dependent not only on pulmonary physiology restoration after surgery, but also on preoperative education and intraoperative clinical care management. Therefore, it is necessary to discuss the appropriateness of common interventions and how they pertain to postoperative recovery. Examples include preoperative smoking cessation, anesthetic and analgesic technique, laparoscopic vs. open procedures, nasogastric decompression, lung expansion therapy, and the use of subglottic suction endotracheal tubes (*Kelkar, 2015*).



Aim of the Work

The aim of this essay is to through light on postoperative pulmonary complications concerning risk factors, diagnosis, prevention, and ICU management.

Chapter 1

Epidemiology and Etiology of Postoperative Pulmonary Complication

Epidemiology:

Postoperative pulmonary complications (PPCs) following surgery were first described by Pasteur in 1908 and remain an important cause of postoperative morbidity, contributing to significant increases in patient discomfort, length of hospital stay (LOS), use of resources and overall hospital cost (*Patel et al., 2013*).

Postoperative pulmonary complications are common, especially in elderly patients with comorbidities. Nearly 5% of all patients undergoing noncardiac surgery experience significant pulmonary complications. Postoperative pulmonary complications include respiratory failure, pneumonia, and atelectasis (*Gupta et al., 2013*).

PPCs have been defined as a pulmonary abnormality that produces identifiable disease or dysfunction that is clinically significant and adversely affects the clinical course. Over the past two decades widespread developments in postoperative pain management, together

with advances in surgical and anesthetic techniques, have led to reductions in complications following major surgery and faster discharge from hospital. Nonetheless PPCs remain an important cause of postoperative morbidity and mortality (*Hayashi et al., 2017*).

Strategies to reduce the incidence of PPCs include screening for and modification of risk factors, optimizing preoperative status, patient education, intraoperative management and postoperative pulmonary care (*Rafiq et al., 2017*).

Risk factors that can be assessed include the following:

- Advanced age (e.g., age greater than 65 years increases the risk of complication 4.7-fold or by 370%).
- Smoking history (e.g., smoking history of longer than 40 or more pack years increases the risk 5.7-fold or by 470%).
- A history of COPD (this increases the risk 4.2-fold or by 320%).
- Obesity (body mass index greater than 30 kg/m² increases the risk 4.1-fold or by 310%).
- A positive cough test (this increases the risk 4.3-fold or by 330%).

- Maximal laryngeal height of 4 cm or less (this increases the risk 6.9-fold or by 590%).
- A forced expiratory time of 9 seconds or more (this increases the risk 5.7-fold or by 470%).
- Surgical time of 2.5 hours or more (this increases the risk 2.9-fold or by 190%) (*Kim et al., 2016*).

Identification of any risk factors should prompt clinicians to perform laboratory tests for additional risk information. These tests include spirometry and arterial blood gas assessment (on room air if possible). An FEV1 (forced expiratory volume in 1 second) of less than 1 L increases the risk of postoperative pulmonary complications 7.9-fold or by 690%, while an FVC (forced vital capacity) value of less than 1.5 L increases the risk 11.1-fold or by 1000%. Hypercarbia (defined as PaCO₂ of 45 mm Hg or greater) on arterial blood gas assessment increases the risk 61-fold, while hypoxemia (defined as PaO₂ of less than 75 mm Hg) increases the risk 13-fold (*Kim et al., 2016*).

Surgical patients are at risk for chemical pneumonitis resulting from the aspiration of acidic gastric contents during the perioperative period. The clinical features of chemical pneumonitis include the abrupt onset of dyspnea