

Ain Shams University College of Women for Arts, Science and Education Physics Department

CONTRIBUTIONS TO DATA MINING USING ANT COLONY OPTIMIZATION ALGORITHMS

By

SAMAH REFAT ABDELHAI

Thesis Submitted
For
The Degree of Doctor of Science
(Physics – Computer Science and its Applications)

Under Supervision of

Dr. Ashraf H. Abdelwahab, Professor Computers and Systems Dept. Electronics Research Institute

Dr. Amira Z.Dakroury, ProfessorUniversity College of Women for Arts, Science and Education
Ain Shams University

Dr. Hesham A. Hefny, Associate Prof.

Computers and Information Dept.
Institute of Statistical Research and Studies
Cairo University

Dr. Mohammed E. El-Telbany, Associate Prof.

Computers and Systems Dept. Electronics Research Institute (2010)



CONTRIBUTIONS TO DATA MINING USING ANT COLONY OPTIMIZATION ALGORITHMS

By

SAMAH REFAT ABDELHAI

Thesis Submitted
For
The Degree of Doctor of Science
(Physics – Computer Science and its Applications)

Approved by the Examining Committee:

Dr. Mohammed Z. Abd-Elmgid Advisor.

Dr. Samia A. Mashali Advisor.

Dr. Ashraf H. Abdelwahab, *Professor* Thesis main advisor.

Dr. Amira Z.Dakroury, *Professor* Thesis main advisor.

Dr. Hesham A. Hefny, Associate Proffeso Member.

Dr. Mohammed E. El-Telbany, Associate Proffesor Member.

University College of Women for Arts, Science and Education Ain Shams University



جامعة عين شمس كلية البنات للأداب و العلوم والتربية قسم الطبيعة

مساهمات في التنقيب في البيانات باستخدام خوارزميات مستعمرات النمل

مقدمة من الباحثة:

سماح رفعت عبد الحي

للحصول على درجة الدكتوراه فى فلسفة العلوم تخصص (تطبيقات الحاسب الألي في علم الفيزياء) المشرفون

د/أشرف حسن عبد الوهاب

أستاذ الذكاء الاصطناعي – معهد بحوث الالكترونيات- المركز القومي للبحوث .

د/ أميرة ذكي دكروري

أستاذ فيزياء الجوامد - قسم الفيزياء-كلية البنات- جامعة عين شمس .

د/ هشام أحمد حفني

أستاذ مساعد الذكاء الحسابي – معهد الدراسات و البحوث الأحصائية– جامعة القاهرة .

د/ محمد السعيد التلباني

أستاذ مساعد الذكاء الاصطناعي - معهد بحوث الالكترونيات-المركز القومي للبحوث .

ABSTRACT

In the past few decades, cluster analysis has played a central role in a variety of fields ranging from engineering (machine intelligence, learning. artificial pattern recognition, mechanical engineering, electrical engineering), computer sciences (web mining, spatial database analysis, textual document collection, image segmentation), to bioinformatics and medical sciences (genetics, biology, microbiology, paleontology, psychiatry, pathology). Clustering is often used as a tool for preliminary and descriptive data analysis and for unsupervised classification. Its main purpose is to identify homogeneous groups by finding similarities between objects regarding their characterizing attributes.

Swarm Intelligence (SI) is an innovative distributed intelligent paradigm for solving optimization problems that originally took its inspiration from the biological examples by swarming, flocking and herding phenomena in vertebrates. Particle Swarm Optimization (PSO) incorporates swarming behaviors observed in flocks of birds, schools of fish, or swarms of bees. Ant Colony Optimization (ACO) deals with artificial systems that are inspired from the

foraging behavior of real ants, which are used to solve discrete optimization problems.

Data mining and Swarm intelligence may seem that they do not have many properties in common. However, recent studies suggest that they can be used together for several real world data mining problems especially when other methods would be too expensive or difficult to implement.

This thesis deals with the application of swarm intelligence methodologies in data mining especially for data clustering. In our thesis PSO_Ant_Clustering algorithm represents the proposed clustering technique in which Ant Based Clustering is integrated by Particle Swarm Optimization for data clustering. The PSO_Ant_Clustering algorithm allows the parameters controlling the clustering sensitivity to be adapted as the PSO search algorithm proceeds. The proposed hybrid model consists of a set of particles, each of which has an Ant_clustering parameters set. The ants use these parameters to guide its search for favorable dropping/picking locations. The main goal of this is to create clusters of higher quality.

We have examined different types of problems. Using the traditional clustering techniques (such as K-Means and

Expectation Maximization), traditional Ant Based Clustering and the proposed technique PSO_Ant_Clustering algorithm are applied to the problems at hand. It has been shown that the PSO_Ant_Clustering algorithm has superior results than that of all such other techniques.

LIST OF PUBLICATIONS

- 1. El-Telbany M., Refat S., Abdelwhab A., Hefny H., and El Dakroury A., "From Evolution to Swarm Intelligence: Data Clustering Survey", International Journal of Intelligent Computing and Information Sciences, vol. 7, No. 2, pp. 219-236, July 2007.
- 2. El-Telbany M., Refat S., Sultan H. Aljahdali , "Natural_Inspired Data Clustering: A Hybrization Between Ant Clustering and Particle Swarm Optimization", in ISCA 18th International Conference on Software Engineering and Data Engineering, Las Vegas , USA, June 2009
- 3. Refat S., El-Telbany M., Abdelwhab A., El Dakroury A., and Hefny H., "Integrated Ant Based Clustering with Particle Swarm Optimization for Data Clustering", International Journal of Intelligent Computing and Information Sciences, vol 10., No 1., pp. 291-302, Jan 2010.

ACKNOWLEDGEMENT

My parents over the years showed an amount of patience that is hard for me to fathom. They consistently gave me the freedom to chart my own path and stood by me, and without their support none of this would have been possible. There are many people to thank for contributing to this dissertation and to my success in graduate study. Foremost is professor Ashraf H. Abdulwahab and Professor Amira Z.EL-Dakruory, who have been my principles guide throughout my graduate career. I have benefited enormously from their scientific curiosity, attention to details, and research standard. They have considerably changed my view to the world. Dr. Hesham A. Hefny and Dr. Mohammed E. El-Telbany have provided invaluable technical assistance over the years, improving my writing style, and gives me the moral support needed to complete this dissertation. Finally, I cannot adequately express my gratitude to any one, who has helped me and encouraging me in my research work.

TABLE OF CONTENTS

Abstract	I
List of publications	IV
Acknowledgement	V
Table of Contents	VI
List of Figures	X
List of Tables.	XIII
List of Algorithms	XVIII
List of appreviations	XIX
Chapter One: Introduction	1
	_
1.1 Introduction	1
1.1 Introduction	1
1.1 Introduction	1 2
1.1 Introduction	1 2 5
1.1 Introduction 1.2 Clustering Background 1.3 Clustering is an Optimization Problem 1.4 Research Problem	1 2 5 8
1.1 Introduction 1.2 Clustering Background 1.3 Clustering is an Optimization Problem 1.4 Research Problem 1.5 The Research Objectives	1 2 5 8 9
1.1 Introduction 1.2 Clustering Background 1.3 Clustering is an Optimization Problem 1.4 Research Problem 1.5 The Research Objectives 1.6 Contributions of This Study	1 2 5 8 9 9
1.1 Introduction 1.2 Clustering Background 1.3 Clustering is an Optimization Problem 1.4 Research Problem 1.5 The Research Objectives 1.6 Contributions of This Study 1.7 Thesis Overview	1 2 5 8 9

2.2 Particle Swarm Optimization	
2.2.1Original Algorithm.	13
2.2.2Particle Swarm Optimization With Inertia	18
2.2.3 Applications of PSO	20
2.3 Ant Colony Optimization.	20
2.3.1 Natural Ant Colonies.	21
2.3.2 Real Vs. Artificial Ants	23
2.3.3 Similarities And Differences Between Natural	
And Artificial Ants	24
2.3.4 Ant Colony Optimization Algorithm	26
2.3.5 Applications of ACO	29
2.4 Summary	32
Chapter Three: LITERATURE SURVEY OF	22
CLUSTERING TECHNIQUES	33
3.1 Introduction.	33
3.2 Stages of Clustering Algorithms	34
3.3 Clustering Techniques	37
3.3.1 Parametric Clustering	38
3.3.1.1 Generative Models	39
3.3.1.2 Reconstructive Models	44
3.3.2 Non-Parametric Clustering	54
3.4 Swarm Based Techniques for Clustering	55
3.4.1Particle Swarm Optimization Clustering	
Techniques	
1 comiques	56
3.4.2 Ant-Based Techniques as Clustering Algorithms	5661

3.5 Clustering Algorithms Validity and Reliability	66
3.5.1 Number of Clusters	67
3.5.2 Validity of Clusters Algorithms	70
3.6 Comparison of Clustering Algorithms	75
3.7 Summary	81
Chapter Four : NEW SWARM BASED	84
CLUSTERING ALGORITHM	84
4.1 Introduction.	84
4.2 Characteristic of Ant-Based Clustering	85
4.3 Drawbacks of Ant-Based Clustering Algorithm	86
4.4 The proposed Algorithm	88
4.5 Objective Function	90
4.5.1 Pearson Correlation coefficient function	90
4.5.2 Cosine Coefficient.	91
4.5.3 Schaffer's F6 Function	93
4.6 Summary	95
Chapter Five: EXPERIMENTAL RESULTS	94
5.1 Introduction.	94
5.2 PSO_Ant_Clustering Algorithm for Random Data	94
5.2.1 Experiment (1)	95
5.2.2 Experiment (2)	100
5.2.3 Experiment (3)	104
5.3 PSO_Ant_Clustering Algorithm for Different Data	
Types	111

REFERENCES	150
6.3 Issues for Future Research.	
6.2 Summarizing The Results	
6.1 Contributions of This Study	147
Chapter Six : CONCLUSION AND FUTURE WORK	147
5.4 Summary	
5.3.4 Wisconsin Breast Cancer Database	
5.3.3 Balance Dataset	129
5.3.2 Heart Disease Dataset	
5.3.1 Blood Transfusion Dataset	112

LIST OF FIGURES

Figure 1.1: Clusters of different shape in R ²	3
Figure 2.1: A diagram of PSO algorithm	15
Figure 2.2: Experimental setup with two branches: the left branch	
is shorter	22
Figure 2.3: Ants build solutions, that is, paths from a source to a	
destination node	27
Figure 3.1: The main steps for clustering algorithms	37
Figure 3.2: Some of problems that face the K-means algorithm.	47
Figure 4.1: Schaffer's F6 Function	92
Figure 5.1: Experiment (1 a) the average of learning rate of PSO-	
Ant-clustering algorithm	97
Figure 5.2: Experiment (1 a), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	97
Figure 5.3: Experiment (1 b) the average of learning rate of PSO-	
Ant-clustering algorithm	99
Figure 5.4: Experiment (1 b), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	99
Figure 5.5: Experiment (2 a) the average of learning rate of PSO-	
Ant-clustering algorithm	101

Figure 5.6: Experiment (2 a), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	101
Figure 5.7: Experiment (2 b) the average of learning rate of PSO-	
Ant-clustering algorithm	103
Figure 5.8: Experiment (2 b), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	103
Figure 5.9: Experiment (3 a) the average of learning rate of PSO-	
Ant-clustering algorithm	105
Figure 5.10: Experiment (3 a), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	106
Figure 5.11: Experiment (3 b) the average of learning rate of	
PSO-Ant-clustering algorithm	107
Figure 5.12: Experiment (3 b), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	107
Figure 5.13: Experiment (3 c) the average of learning rate of	
PSO-Ant-clustering algorithm	107
Figure 5.14: Experiment (3 c), comparison results of two	
techniques, a) the results of basic Ant-clustering algorithm, b) the	
results of hybrid PSO_Ant_clustering algorithm	109
Figure 5.15: Experiment (3 d) the average of learning rate of	
PSO-Ant-clustering algorithm	11(