

Ain Shams University
Faculty of Engineering
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# Assessing Good Urban Governance in Promoting Informal Settlements Upgrading Policies in Egypt

A thesis Presented in Partial Fulfillment of the Requirements for Master of Science Degree in Architecture Engineering

By

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### **Disclaimer**

This thesis is submitted as partial fulfillment of M.Sc. degree in Architecture, Faculty of Engineering, Ain Shams University.

The work included in this thesis was carried out by the author during the period from January 2015 to September 2017, and no part of it has been submitted for a degree or qualification at any other scientific entity.

The candidate confirms that the work submitted is her own and that appropriate credit has been given where reference has been made to the work of others.

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#### **Abstract**

The uprising phenomenon of informal housing and urbanism is becoming one of the urging challenges on decision-making process in developing urban upgrading policies and strategic planning. This phenomenon is caused by poor pro-poor housing policies that provoked many working class and low-income groups to find alternative for affordable housing through building informal settlements. There are main negative impacts for informal growth which promoted governments and authorities to face it through corrective policies depending on upgrading informal areas from one side, and preventive policies depending on providing adequate affordable housing for lower income groups from another side.

Despite the continuous efforts to restrain informal growth and its problems, the policies developed in Egypt could not achieve its goals, and the continuous growth and deterioration of informal areas are still urging problems. Thus, investigating the adopted upgrading policies in Egypt is inevitable, to define deficiencies in the governing framework and reasons behind its failure to achieve its intended goals. This thesis examines the policy of urban intervention in slums in Egypt and analyzes the shortcomings and strengths of the institutional and legislative framework to adopt and implement these policies. Moreover, it studies the effectiveness of applying the principles of Good Urban Governance in raising the efficiency of decision-making processes in urban development management in general and informal upgrading in particular.

The theoretical study discusses the ruling framework for urban development in Egypt through the discussion of laws and legislations governing urban development, the analysis of economic, social and political influences, and the reflection of all the above on informal growth within our society. It also addresses the approaches and principles of applying Good Urban Governance and their relation to the principles of intervention in informal areas. The practical study deals with a field survey to identify the roles of stakeholders involved in informal upgrading and urban development in Egypt, the aspects of cooperation and participation among them, the challenging aspects and the improvement suggestions for policies, applications and for the institutional and legislative frameworks.

The findings of this thesis are the positive and negative impacts of applying Good Urban Governance principles on Urban Upgrading policies in informal areas. These impacts are studied from the perspective of informal upgrading goals, and the capability of their achievement within the Good Urban Governance system. These findings will give insights for the future development of the legislative and institutional frameworks of urban development management in Egypt and for future tailoring Good Urban Governance principles to adapt to the Egyptian context potentials and challenges.

### Acronyms

CAPMAS: Central Agency for Public Mobilization And Statistics

ESA: Egyptian Survey Authority

ISDF: Informal Settlements Development Fund

ISDP: Informal Settlements Development Program

GARPAD: General Authority for Reconstruction Projects, & Agriculture Development

**GDP: Gross Domestic Product** 

GOPP: General Organization for Physical Planning

GRC: Great Cairo Region

GUG: Good Urban Governance

MDG: Millennium Development Goals

MHUUC: Ministry of Housing, Utilities and Urban Communities

NUCA: New Urban Communities Authority

PPP: Public Private Partnership

SDG: Sustainable Development Goals

TDA: Touristic Development Authority

UNDP: United Nations Development Program

UN-HABITAT: United Nations Human Settlements Program

UGI: Urban Governance Index

**UUU:** Urban Upgrading Units