



# OPTIMIZED RESOURCE ALLOCATION AND INTERFERENCE MANAGEMENT ALGORITHMS FOR INDOOR OPTICAL WIRELESS COMMUNICATIONS.

By

### **Asmaa Ibrahim Mohamed Badr**

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the
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Under the Supervision of

Prof. Dr. Dr.

**Khaled Mohamed Fouad Elsayed** 

**Tawfik Ismail Tawfik** 

Professor
Electronics and communication
Faculty of Engineering, Cairo University

Assistant Professor National Institute of Laser Enhanced Science, Cairo University, Egypt

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Approved by the Examining Committee

Prof. Dr. Khaled Mohamed Fouad Elsayed

Thesis Main Advisor

Dr. Tawfik Ismail Tawfik
National Institute of Laser Enhanced Science

Prof. Dr. Mohamed Mohamed Khairy

Internal Examiner

Prof. Dr. Hossam Mohamed Shalaby
Faculty of Engineering, Alexandria University

External Examiner

FACULTY OF ENGINEERING, CAIRO UNIVERSITY
GIZA, EGYPT
2018

**Engineer's Name:** Asmaa Ibrahim Mohamed Badr

**Date of Birth:** 1/10/1989 **Nationality:** Egyptian

E-mail: Ae\_as2007@yahoo.com

**Phone:** 01154080003

Address: 22 said Ali St., Hadaek El Maddi, Cairo

**Registration Date:** 1/10/2012 **Awarding Date:** ..../2018 **Degree:** Master of Science

**Department:** Electronics and Communications Engineering

**Supervisors:** 

Prof. Khaled Mohamed Fouad Elsayed

Dr. Tawfik Ismail Tawfik

(National Institute of Laser Enhanced Science)

**Examiners:** 

Prof. Khaled Mohamed Fouad Elsayed Thesis Main advisor Prof. Mohamed Mohamed Khairy Internal examiner Prof. Hossam Mohamed Shalaby External examiner

(Faculty of Engineering, Alexandria University)

#### Title of Thesis:

Optimized Resource Allocation and Interference Management Algorithms for Indoor Optical Wireless Communications.

#### **Key Words:**

Visible Light Communication; Modulation; Resource Allocation; Interference Management.

## **Summary:**

In this thesis, we introduce an indoor optical wireless communication system that enhances the system capacity and mitigates the interference in the optical cell. The main objective of this work is to improve the signal to interference noise ratio (SINR) and the system reliability. The thesis is separated into main three parts: optical modulation, resource allocation and interference management algorithms. In the optical modulation, we introduce a multi carrier optical modulation technique that enhances the spectral and power efficiency for real constellation modulation techniques. As it uses all the available subcarriers to send an odd real data and clips the negative parts. In the resource allocation, we propose an optimized resource allocation technique with rate and power constraints that maximizes the total output data rate while satisfying the constraints and enhancing the computational complexity. By allocating power and subcarriers to each user depending on its SINR and rate constraint, respectively. In the interference management, we introduce an interference management algorithm that mitigates the inter cell interference at the cell edge. As the frequency band is divided into reused band that is used in the cell area and shared band that is used in the interference area.



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# **Table of Content**

	owledgmensof Contentof Content	
List of	Tables	iv
List of	Figure	v
List of	abbreviations	vi
List of	? symbols	vii
Abstra	act	viii
Chapt	er 1 : Introduction	1
Chapt	er 2 : Literature Review	3
2.1.	Indoor VLC system model	3
	2.1.1. Light source	3
	2.1.2. Receivers	4
	2.1.2.1. Direct detection (DD)	5
	2.1.2.2. Coherent detection:	6
2.2.	Optical modulation techniques	7
	2.2.1. Analog modulation techniques	7
	2.2.2.Orthogonal frequency multiplexing (OFDM)	7
	2.2.2.1. DCO-OFDM	10
	2.2.2.2. ACO-OFDM	11
2.3.	Resource Allocation	13
2.4.	Interference management algorithm	14
2.5.	Conclusion	16
_	er 3 : Odd Clipping Optical Orthogonal Frequency Multiplexing for system 17	
3.1.	Odd clipping optical OFDM (OCO-OFDM)	17
3.2.	The modified OCO-OFDM (MOCO-OFDM) scheme	24
	3.2.1. Performance comparison	26
	<b>3.2.1.1. Power efficiency:</b>	26
	<b>3.2.1.2.</b> Channel capacity	27
	3.2.1.3. Bit error rate (BER)	27
3.3.	Optical OFDM simulation results	27
3.4.	Conclusion.	31

_	er 4 : Optimized Radio Resource Allocation Scheme for Indoor Optical ess Communication	33
4.1.	Optical channel model	
4.2.	Optimized resource allocation algorithm	34
4.3.	Resorce allocation simulation results	38
4.4.	Conclusion	44
Chapt	er 5 : Interference Management Techniques for VLC System	45
<b>5.1.</b>	Parameter optimization	45
<b>5.2.</b>	Interference management algorithm	46
5.3.	Interference management simulation results	47
	5.3.1. Signal to interference plus noise ratio (SINR)	49
	5.3.2. System throughput	51
	5.3.3. The outage probability of the system	54
5.4.	Conclusion	56
Chapt	er 6 : Conclusions and Future Work	57
6.1.	Conclusions	57
6.2.	Future work	57
Refere	ences	59
Dublia	ation	62

# **List of Tables**

TABLE 2.1: DIFFERENCES BETWEEN LD AND LED	4
Table 2.2: PIN and APD characteristics	6
TABLE 3.1: OPTICAL OFDM SIMULATION PARAMETERS	. 28
Table 4.1: Resource allocation simulation parameters	. 39
TABLE 5.1: INTERFERENCE MANAGEMENT SIMULATION PARAMETERS	48

# **List of Figure**

FIGURE 2.1:SPECTRAL DEPENDENCE OF RESPONSIVITY AND QUANTUM EFFICIENCY FOR DIFFERENT SEMICONDUCTOR	
MATERIALS	5
FIGURE 2.2: OOK & PPM MODULATION TECHNIQUES	14
FIGURE 2.3: MULTIPATH-INDUCED TIME DELAYS RESULT IN ISI	15
FIGURE 2.4: LONGER DELAY SPREADS RESULT IN FREQUENCY SELECTIVE FADING	15
FIGURE 2.5: OFDM SYMBOL IN TIME AND FREQUENCY DOMAIN	16
FIGURE 2.6: OFDM ELIMINATES ISI THROUGH GP	16
FIGURE 2.7: DCO-OFDM TRANSCEIVER	17
FIGURE 2.9: ACO-OFDM TRANSCEIVER	
FIGURE 2.10: OFDM SYMBOL AND IFFT BIN ASSIGNMENT	
FIGURE 2.11: BB SYSTEM FLOW CHART	
FIGURE 2.12: DIFFERENT TOPOLOGIES OF CELLS	
FIGURE 2.13: UNITY FREQUENCY REUSE SCHEME (GRAY INTERFERENCE AREA)	
FIGURE 2.14: PARTIAL FREQUENCY REUSE SCHEME	
FIGURE 3.1: OCO-OFDM TRANSCEIVER	
FIGURE 3.2: OFDM SYMBOL AFTER ODD DATA SYMMETRY	
FIGURE 3.3: BPSK MODULATED SIGNAL	
Figure 3.4: BPSK odd signal	
FIGURE 3.5: SIGNAL AFTER IFFT	
FIGURE 3.6: ODD CLIPPED SIGNAL	
FIGURE 3.7: OCO-OFDM RECEIVED SIGNAL	
FIGURE 3.8: RECEIVED SIGNAL AFTER FFT	
FIGURE 3.9: SELECTED DATA SUBCARRIERS	
FIGURE 3.10: BPSK DEMODULATED SIGNAL	
FIGURE 3.11: MODIFIED OCO-OFDM TRANSCEIVER.	
FIGURE 3.12: MODIFIED OCO-OFDM TRANSCEIVER.	
FIGURE 3.13: OPTIMIZED RESOURCE ALLOCATION ALGORITHM	
FIGURE 4.1: DCO-OFDM WITH 7 DB AND 13 DB DC OFFSET	
Figure 4.2: BER Vs EB(ELEC)/NO FOR ACO,DCO,OCO-OFDM, MODIFIED OCO-OFDM, THEORETICAL BPSK	
Figure 4.3: BER Vs EB(ELEC)/No FOR ACO-OFDM FOR QPSK, 8PSK AND MODIFIED OCO-OFDM FOR QPSK, 8PSK	
FIGURE 4.4: AP DEPLOYMENT IN THE SIMULATED ROOM	
FIGURE 4.5: SPATIAL DISTRIBUTIONS OF THE RECEIVED SINR WITH UFR	
FIGURE 4.6: SPATIAL DISTRIBUTIONS OF THE RECEIVED SINR WITH OF R	
FIGURE 4.7: TOTAL DATA RATE OF UFR AND PFR.	
FIGURE 4.8: BLOCKING PROBABILITY OF THE PROPOSED ALGORITHM	
FIGURE 4.9: TOTAL DATA RATE OF THE PROPOSED ALGORITHM	
FIGURE 4.10: TOTAL DATA RATE OF THE PROPOSED ALGORITHM	
FIGURE 4.11: BLOCKING PROBABILITY OF THE PROPOSED ALGORITHM	
FIGURE 4.12: SINR DISTRIBUTION OF UFR (FOV 30)	
FIGURE 4.13: SINR DISTRIBUTION OF UFR (FOV 60)	
FIGURE 4.11: SINR DISTRIBUTION OF UFR (FOV 40)	
FIGURE 4.15: SINR DISTRIBUTION OF PFR (FOV 40)	
FIGURE 4.15: SINR DISTRIBUTION OF PFR (FOV 40)	
FIGURE 4.17: SINR DISTRIBUTION OF PFR (FOV 40)	
FIGURE 4.17: SINR DISTRIBUTION OF FFR (FOV 40)  FIGURE 4.18: SINR DISTRIBUTION OF INTERFERENCE BAND (FOV 30)	
FIGURE 4.19: SINR DISTRIBUTION OF INTERFERENCE BAND (FOV 50)	
FIGURE 4.19: SINR DISTRIBUTION OF INTERFERENCE BAND (FOV 60)	
,	
FIGURE 4.21: AVERAGE USER THROUGHPUT	
FIGURE 4.22: OUTAGE PROBABILITY	
FIGURE 4.24: AVERAGE USER THROUGHPUT	58

### List of abbreviations

ACO-OFDM Asymmetrical clipped optical OFDM

AP Access point

AWGN Additive white Gaussian noise

BER Bit error rate

BPSK Binary phase shift keying DCO-OFDM DC-clipped optical OFDM

DD Direct detection

DFT Discrete Fourier transform FFR Fractional frequency reuse

FOV Field of view
IM Intensity modulation
ISI Inter symbol interference
LED Light emitting diodes

LOS Line of sight MA Margin adaptive

OCO-OFDM Odd clipping optical OFDM

OFDM Orthogonal frequency division multiplexing

OOK On off keying PD Photo detector

PFR Partial frequency reuse
PPM Pulse position modulation
PWM Pulse width modulation

RA Rate adaptive

SINR Signal to interference plus noise ratio

UE User equipment

UFR Unity frequency reuse
VLC Visible light communications
WDM Wavelength division multiplexing

# List of symbols

σ The standard deviation
 Nc(k) The clipping noise
 Xc Clipped Gaussian signal

 $C_{ACO}$  Channel capacity of ACO-OFDM

m Lambertian emission order

 $\omega$  Receiving angle  $\phi$  Radiant angle

Ts The optical filter gain
gc Concentrator gain
Popt Total optical power
Bsub The subcarrier bandwidth
No The noise spectral density
x(n) N time-domain output samples
X(k) K frequency-domain input symbols

SINRth Threshold SINR

R Total rate

Pk Power assigned to user K Nk Subcarrier assigned to user K

Pelec The power of the output electrical signal

 $\varphi$ 1/2 Half power angle

#### **Abstract**

In this thesis, we introduce an indoor optical wireless communication system that enhances the system capacity and mitigates the interference in the optical cell. The main objective of this work is to improve the signal to interference noise ratio (SINR) and the system reliability. The thesis is separated into main three parts: optical modulation, resource allocation and interference management algorithms.

In the first part, we introduce a multi carrier optical modulation technique that enhances the spectral and power efficiency for real constellation modulation techniques. As it uses all the available subcarriers to send an odd real data and clips the negative parts. In the second part, we propose an optimized resource allocation technique with rate and power constraints that maximizes the total output data rate while satisfying the constraints and enhancing the computational complexity. As we allocate power and subcarriers to each user depending on its SINR and rate constraint, respectively. Finally, we introduce an interference management algorithm that mitigates the inter cell interference at the cell edge. As the frequency band is divided into reused band that is used in the cell area and shared band that is used in the interference area.

## **Chapter 1: Introduction**

Optical wireless communication using white light emitting diodes (LED) has been investigated for indoor areas. The utilization of the broad unlicensed visible light spectral band offers great promise in the optical wireless communication system, as it provides high speed secured transmission which has no interference with the existing radio frequency system (RF).

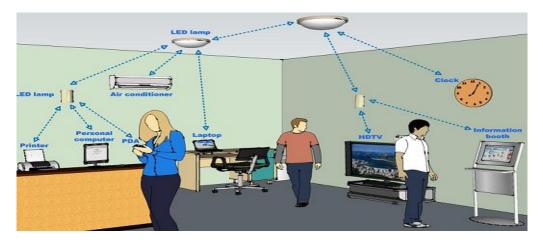


Figure 1.1: Indoor VLC system [35]

Visible light communication (VLC) has been proposed as a new wireless communication technology that uses the very appealing broad visible light spectral band [1]. VLC technology poses more advantages over the RF technology as it has unlicensed wide bandwidth, highly secured transmission as it can be received by users in the indoor area, low cost end devices and dual use of light emitting diodes (LED) for illumination and data transmission [3]. In the optical system, light intensity is used to transmit the data, where the transmitted signal is proportional to the power of the optical signal. On the receiver, a photodiode is used to detect the incident light with direct detection (DD) and generates the corresponding electrical signal proportional to the received optical power [4]- [6].

Intensity modulation with direct detection (IM/DD) becomes the most suitable technique to modulate the transmitted optical signal for VLC system due to its simplicity and low cost [2]. However, VLC system using IM/DD imposes more requirements on the transmitted signal format as it has to be positive and real signal, so real unipolar techniques as on off keying (OOK) and pulse position modulation (PPM) are commonly used in IM/DD systems. In high data rate systems like VLC, orthogonal frequency division multiplexing (OFDM) has been introduced as an effective solution to the inter symbol interference (ISI) [7]. However, classical bipolar OFDM signal based on Fourier transform (FT) is not used in optical wireless communication as it does not meet its requirements.

So, modified version of classical OFDM technique is introduced to get real and positive signal such as asymmetrical clipped optical OFDM (ACO-OFDM), DC-clipped optical OFDM (DCO-OFDM) [10]. In these techniques, Hermitian symmetry is

imposed to the carriers in the frequency domain to generate real OFDM signal which decreases the spectral efficiency of the optical OFDM. The generated real signal is bipolar so the ACO-OFDM uses only the odd subcarriers to transmit the data and clips the negative parts at zero. Whereas, the DCO-OFDM uses all subcarriers to transmit the data, however, it adds a DC offset to the OFDM signal to be positive. Accordingly, ACO-OFDM is more power efficient than DCO-OFDM, as DCO-OFDM increases the power signal by adding DC offset, except the DCO-OFDM provides a better spectral efficiency than ACO-OFDM where the ACO-OFDM uses only odd subcarriers to send its data.

Different techniques for ACO-OFDM and DCO-OFDM are introduced in [21], where discrete Fourier transform (DFT) is replaced by real processing using discrete Hartley transform (DHT). The results suggest that DHT based OFDM has doubled the spectral efficiency of the classical ACO-OFDM and DCO-OFDM for real constellation with the same bit error rate performance (BER). As Hermitian symmetry is not required for the input signal.

In the proposed odd clipped optical OFDM (OCO-OFDM) and (MOCO-OFDM) based on FFT, Hermitian symmetry is replaced by odd symmetry of Fourier transform. Where odd symmetry of Fourier transform states that the output of the IFFT is pure real and odd signal for pure imaginary odd input. So, all the subcarriers are used in the communication process rather than odd subcarriers only for ACO-OFDM.

For standalone VLC systems, the coverage area of access points (AP) are overlapped to avoid dead zones, which causes co channel interference (CCI) at the user in the interference area (overlapping area). Hence, interference arises as a challenging problem in the VLC systems, so many interference management techniques have been investigated. We propose an interference management technique that assigns different frequency band to the cell edge users to enhance the signal to interference plus noise ratio (SINR). We also propose an optimized RA resource allocation with rate constraints for indoor VLC over DCO-OFDM using unity frequency reuse (UFR) and partial frequency reuse (PFR) and compare it with the allocation algorithm proposed in [8]. The results show that the proposed system satisfies the required users' rate and offers reasonable total rate with small number of iterations which enhances the computational complexity of the system.

The thesis is organized as follows. In Chapter 2, literature survey on the optical modulation, resource allocation and the interference management techniques are introduced. The proposed algorithms and the simulation results of the optical modulation are presented in chapter 3. Chapter 4 presents the proposed algorithms and the simulation results of the resource allocation. The proposed interference management technique with simulation results are introduced in Chapter 5. Finally, conclusions and future work are included in chapter 6.

# **Chapter 2 : Literature Review**

In this chapter, we introduce the indoor VLC system model and the benchmarks techniques of the optical modulation, resource allocation and the interference management.

## 2.1. Indoor VLC system model

VLC systems like other communication systems mainly consist of sources, receivers and channels. The difference is in the nature of these parts, as in VLC optical sources and detectors will be used instead of the antennas in RF communications. Due to the nature of the optical signals some characteristics will change in the wireless channel between the transmitter and the receiver. In this section, these three main parts will be studied.

#### 2.1.1. Light source

In optical communications, the sources used should have appropriate wavelength, line width, numerical aperture, high radiance with a small emitting surface area, a long life, a high reliability and a high modulation bandwidth, low cost. Light emitting diodes (LEDs) and laser diodes (LDs) which depend on the electronic excitation of the semiconductor materials can be used as they offer excellent brightness in the visible wavelength with small size and low forward voltage and drive current. Any source can be used depending on the application and their key features, LEDs are preferred for VLC systems as it can support both functions of illuminations and data transfer with low cost simultaneously. Table 2.1 shows Differences between LEDs and LDs.

Dual use of LEDs in VLC supports an advantage over the other communication systems. As light source is already installed everywhere, this infrastructure can support illuminations in addition to data transmission. This reduces the cost of installing VLC and saves the power consumed in the communication process. Also, the modulated signal is able to transmit digital data beyond the perception speed of human eyes.

The main drawback of the LED is its small electrical bandwidth, due to the fluctuations of the LEDs. RGB LED has been proposed to provide an electrical bandwidth higher the phosphor white LED, as it offers up to 813 MBPS.