



Recent Trends in Management of Esophageal Carcinoma

An Essay

Submitted for partial fulfillment of Master Degree

In

General Surgery

Presented by

Ayman Magdy Boutros Ghali

M.B, B.CH

Faculty of Medicine, Ain Shams University

Supervised by

Prof. Dr. Tarek Mohammed Farid El Bahar

Professor of General Surgery

Faculty of Medicine, Ain Shams University

Dr. Medhat Mohamed Helmy Khalil

Lecturer of General Surgery

Faculty of Medicine, Ain Shams University

**Faculty of Medicine
Ain Shams University
2015**

Acknowledgment

*First and foremost, I feel always indebted to **ALLAH**, the kind and merciful.*

*I'm very grateful and truly indebted for Prof. Dr. **Tarek Mohammed Farid El Bahar** , Professor of General Surgery, Ain Shams University for his kind support and generous co-operation to accomplish this work.*

*Words are not enough to express my great thanks and deep appreciation to Dr. **Medhat Mohamed Helmy Khalil**, Lecturer of General Surgery, Ain Shams University for his effort, comments, ideas, constructive criticism, and valuable advices and support throughout this work.*

A very special thank to all my family for their Support and encouragement throughout this work.

Contents

Content	Page no.
Introduction	1
Aim of the study	5
• Chapter (1): Embryology and surgical anatomy of the Esophagus	6
• Chapter (2): Diagnosis of Esophageal cancer	26
• Chapter (3): Management of Esophageal carcinoma	83
• Chapter (4): Genetics and Gene Therapy of Esophageal Cancer	128
Summary	155
References	159
Arabic Summary	١

List of Figures

Figure no.	Figures	Page no.
1.	Foregut Development	8
2.	Esophageal atresia with a tracheoesophageal fistula development	9
3.	Attachments and Musculature of the esophagus	15
4.	Upper esophageal sphincter and upper esophageal musculature	17
5.	Gastroesophageal mucosal junction	19
6.	Arteries of Esophagus	21
7.	Veins of Esophagus	22
8.	Lymphatics of Esophagus	23
9.	Barium swallow of Malignant Esophageal Stricture	39
10.	Axial CT image of malignant Esophageal wall thickening	41
11.	Sagittal CT image of malignant Esophageal wall thickening	41
12.	CT scan of tumor extension into the periesophageal fat	42
13.	CT scan of left upper paratracheal lymph node mets	44
14.	CT scan of tumor involvement of the lower esophagus	45
15.	CT scan of left supraclavicular lymph node mets	46
16.	PET/CT scan of Esophageal cancer	49
17.	PET scan Follow-up for Esophageal cancer	52
18.	EMR treatment for early Esophageal cancer	53
19.	Endoscopic diagnosis with NBI technique	55
20.	Endoscopic diagnosis with NBI technique	55
21.	Endoscopic diagnosis with NBI technique	55
22.	Ultrasonography of Esophageal cancer	57
23.	Ultrasonography of Esophageal cancer	57

24.	Endoscopic US of large esophageal mass	58
25.	Barrett esophagus before treatment with EMR	97
26.	EMR treatment of Barrett esophagus	97
27.	Endoscopic mucosal resection cap	97
28.	Ports position of Robotic abdominal approach	122
29.	Ports position of laparoscopic approach	124
30.	Step1 of trans-hiatal esophagectomy	124
31.	Step 2 of trans-hiatal esophagectomy	124
32.	Step 3 of trans-hiatal esophagectomy	124
33.	Step 4 of trans-hiatal esophagectomy	124
34.	Step 5 of trans-hiatal esophagectomy	124
35.	Genetic alterations in esophageal cancers	131
36.	Molecular changes of cancer progression	132
37.	Adenovirus-mediated p53gene therapy	139
38.	Adenovirus-mediated p53gene therapy	139

List of Tables

Tables no.	Tables	Page no.
1.	Common Risk Factors for Esophageal Cancers	27
2.	Staging of esoph. Cancer according TNM classification	82
3.	Indications for esophagectomy in early stage	102
4.	Pooled-analysis of salvage esophagectomy versus planned resection	111
5.	Results of the first trial of open esophagectomy versus MIE	113
6.	Minimally Invasive Esophagectomy Techniques	118

List of Abbreviations

AJCC	American Joint Committee on Cancer
AMPK	AMP activated protein kinase
BMI	Body mass index
CDDP	Cis-diamminedichloroplatinum
CDKs	Cyclin-dependent kinases
CDKI	Cyclin-dependent kinases Inhibitors
CGR	Cell growth ratio
CPT1	Carnitine Opalmitoyltransferase-1
EAC	Esophageal adenocarcinoma
EEMR-T	Endoscopic esophageal mucosal resection tube
EGFR	Epidermal growth factor receptor
EMR	Endoscopic mucosal resection
EMR-C	Endoscopic mucosal resection cap
ESCC	Esophageal squamous cell carcinoma
ESD	Endoscopic submucosal dissection
FAS	Fatty acid synthase
FDG	Fluorodeoxyglucose
FFCD	Fédération Francophone de Cancérologie Digestive
FREGAT	French Eso-Gastric Tumors
GERD	gastro-esophageal reflux disease
HER2	Human epidermal growth factor receptor 2
HGD	High-grade dysplasia
HGF	Hepatocyte growth factor
HNSCC	Head and neck cancer squamous cell cancers
HPV	Human papillomavirus
ICC	Interstitial cells of Cajal
KRAS	Kirsten rat sarcoma viral oncogene homolog

LES	lower esophageal sphincter
MECC	Middle East Cancer Consortium
MIE	Minimally invasive esophagectomy
MTORC1	Mammalian target of rapamycin complex 1
NBI	Narrow-band imaging
NCI	National Cancer Institute
NSAIDs	Nonsteroidal anti-inflammatory drugs
PAR	Population attributable risk
PCNA	Proliferating cell nuclear antigen
PPI	Proton pump inhibitor
RCT	Radio-chemotherapy
RFA	Radiofrequency ablation
RTK	Receptor tyrosine kinase
SCC	Squamous Cell Carcinoma
SLPI	Secretory leukoprotease inhibitor
SRC	Signet ring cell
THE	Trans-hiatal esophagectomy
TKIs	Tyrosine kinase inhibitors
TTE	Trans-thoracic esophagectomy
TTS	Through-the-scope
UES	Upper esophageal sphincter
UICC	The International Union Against Cancer
VEGF	Vascular endothelial growth factor

Introduction

Esophageal cancer is the eighth most common cause of cancer death worldwide, and it is one of the least studied and deadliest cancers worldwide because of its extremely aggressive nature and poor survival rate. The overall 5-year relative survival is 17%, Reason to explain this poor outcome stands on the fact that esophageal cancer is diagnosed at rather late stage. (*Jemal et al; 2011*)

There are two main histological types: squamous cell carcinoma and adenocarcinoma, Worldwide, squamous cell carcinoma is the predominant histological type. Adenocarcinoma is mainly a disease of developed countries as squamous cell carcinoma accounted for more than a quarter (28%) of all esophageal cancer cases, while Adenocarcinoma accounted for more than half (55%) especially in Europe. (*Cook et al; 2009*)

Tobacco use increases the risk of both squamous cell carcinoma and Adenocarcinoma. Squamous cell carcinoma is also strongly linked with alcohol consumption, while Adenocarcinoma is linked with excess body weight, and long-term acid reflux (which can lead to the pre-cancerous condition Barrett's esophagus). (*Zhang, 2013*)

Esophageal cancer is generally associated with poor outcomes. However, over the last decades the treatment algorithms have changed considerably shifting from single mode treatments to complex multimodal approaches. (*Chak et al; 2012*)

An esophagectomy has long been a mainstay treatment for esophageal cancer. However, the prognosis of patients with esophageal cancer remains extremely poor even after a “curative” esophagectomy. The results for an esophagectomy of esophageal cancer still remain unsatisfactory. (*Berger and Belka, 2009*)

To improve this dismal situation, great endeavors to establish better curative resection procedures have been made by esophageal surgeons over the last two decades. An extensive esophagectomy is highly invasive and places a great operative burden on patients with esophageal cancer, who are frequently compromised by poor nutrition. (*Wolf et al; 2011*)

Therefore, minimally invasive surgery using thoracoscopy and laparoscopy has been recently introduced into the field of esophageal cancer surgery, and are associated with equivalent functional results compared with open procedures. (*Sihag et al; 2012*)

The application of minimally invasive surgery has been explored and found to be feasible in the management of esophageal cancer, as these techniques offer the potential advantages of its safety, efficacy, oncologic value, enhanced recovery, a reduction in pain, a quicker return to normal function, and other advantages that justify longer operative times and higher costs. (*Barreto and Posner, 2010*)

Furthermore, recent improvements in chemo-radiotherapy using potent anti cancer agents for esophageal cancer have led surgeons to reevaluate an esophagectomy as the treatment of choice for this disease. (*Sjoquist et al; 2011*)

Recently, the development of gene therapy systems as new treatment or prevention strategies for various malignant diseases has been explored, Based on the genetic background of esophageal cancer, several molecular therapies have been developed, p53 abnormalities are observed in 40–60% of patients with esophageal cancer, even in an early precancerous lesion. Indeed, p53 genetic alteration is a good predictor for treatment responses and survival in esophageal cancer. (*Ribeiro et al; 1998*)

Introduction & Aim of the work

Therefore, the current standard for patients with locally advanced esophageal cancer who receive neo adjuvant chemotherapy is esophagectomy, and the minimally invasive approach may be offered to these patients without compromising surgical or oncologic outcomes. (*Merritt et al; 2011*)

Aim of the Work

The aim of this work is to illustrate the recent trends in diagnosis and management of esophageal carcinoma, and recent guidelines.

Embryology and surgical anatomy of the Esophagus

The oesophagus is a muscular tube connecting the pharynx to the stomach and measuring 25-30 cm in the adult. Its primary function is as a conduit for the passage of swallowed food and fluid, which it propels by ante-grade peristaltic contraction. It also serves to prevent the reflux of gastric contents whilst allowing regurgitation, vomiting and belching to take place. It is aided in these functions by the upper and lower esophageal sphincters sited at its proximal and distal ends. Any impairment of esophageal function can lead to the debilitating symptoms of dysphagia, gastro-oesophageal reflux or oesophageal pain. (*Muller et al; 1990*)

The apparently simple basic structure of the esophagus belies both physiological importance and the dangers associated with surgical intervention. As a consequence of its location deep within the thorax and abdomen, a close anatomical relationship to major structures throughout its course and a marginal blood supply, the surgical exposure, resection and reconstruction of the esophagus are complex. Despite advances in peri-operative care, oesophagectomy is still associated with the highest mortality of any routinely performed elective surgical procedure. (*Griffin and Raimes, 2001*)

Embryological development Of The Esophagus

The first stages of life are divided into the embryonic and fetal periods. The embryonic period extends from fertilization to week 9. The fetal period lasts from the end of the week 9 to birth. From days 0 to 14, the human embryo develops into a bilaminar disk of ectoderm and endoderm, with the endoderm forming the lining of the yolk sac. The endoderm is the scaffold for the future digestive tract. The ectoderm gives rise to epidermis and neural plates. Through the neurulation process, the neural plates evolve to neural tube and neural crest cells. (*Sherman et al; 2001*)

Mesoderm proliferation and segmentation, which takes place between the endoderm and ectoderm, induces numerous transformations in the endoderm. At the same time, the human embryo elongates cranio-caudally and folds laterally. The dorsal part of the yolk sac, composed of endoderm, is compressed by the lateral folding of the embryo and is incorporated as a rim during the fourth week. Thus the human embryo becomes a "body cylinder" dividing the yolk sac into intra-embryonic and extra-embryonic parts. The intra-embryonic part is the origin of digestive tube and its accessory glands. The extra-embryonic part regresses and disappears around week 12. At this point, the early digestive system divides into foregut, midgut, and hindgut. (*Kedinger et al; 1988*)