Resurfacing arthroplasty of the shoulder

Thesis submitted for fulfillment

of the M.Sc degree in orthopaedic surgery

Presented by

Sherief El-Sayed Ahmed Ban *M.B.B.Ch*

Supervised by

Prof. Dr. Abd El Rahman El-Dessouki

Professor of orthopaedic surgery

Faculty of Medicine, Cairo University

Prof. Dr. Alaa-ElDin Mohyee Soliman

Professor of orthopaedic surgery

Faculty of Medicine, Cairo University

Cairo

2008

Abstract

Shoulder arthroplasty has been a very controversial issue over time. Prosthetic designs have evolved and been altered with changes in concepts of arthroplasty. Resurfacing of the shoulder is a relatively new concept in shoulder arthroplasty that entertains the idea of minimal bone resection. This allows for preservation of bone stock and replication of anatomy.

Keywords

Cementless surface replacement arthroplasty, Glenohumeral, stemmed prosthesis, arthritis.

Index

List of figures
List of tables
Abbreviations
Chapter. 1 Anatomy and Biomechanics of the Glenohumeral joint
Chapter 2. History and development of shoulder arthroplasty
Chapter 3. Prosthesis Design
Chapter 4 . Indications for surgery, preoperative evaluation and planning29
Chapter 5. Surgical technique
Chapter 6. Postoperative care and rehabilitation
Chapter 7. Results71
Chapter 8 . Summary85
References 87

List of figures

- Figure 1. Orientation of the scapula with reference to chest wall. Page 1
- Figure 2. Glenoid version. Page 1
- Figure 3. Humeral articular orientation. Page 2
- Figure 4. Anatomy of joint capsule and ligaments. Page 4
- Figure 5. Inferior glenohumeral ligament complex. Page 4
- Figure 6. Mechanism of stabilization of the dependant arm. Page 6
- Figure 7. Biomechanics of deltoid and rotator cuff muscles. Page 8
- Figure 8. Biomechanics of gravity and supraspinatus on the shoulder. Page 9
- Figure 9. The Stanmore prosthesis . Page 12
- Figure 10. Humeral head measurements. Page 17
- Figure 11. Medial and posterior offset of centre of rotation. Page 17
- Figure 12. Displacement of articular surface with stemmed prosthesis. Page 18
- Figure 13. The Mark-1 prosthesis . Page 20
- Figure 14. CSRA humeral component. Page 22
- Figure 15. Photograph of CSRA implanted on dry bone. Page 22
- Figure 16. Conformity and constraint of shoulder joint. Page 23
- Figure 17. Subluxation in relation to conformity. Page 24
- Figure 18. Component loading in relation to conformity. Page 24
- Figure 19. Rocking horse effect on glenoid component. Page 25
- Figure 20. CSRA glenoid component. Page 28

- Figure 21. X-rays of shoulder osteoarthritis. Page 32
- Figure 22. X-ray of shoulder with rheumatoid arthritis. Page 33
- Figure 23. X-rays of shoulder with stage V avascular necrosis. Page 33
- Figure 24. X-ray of shoulder with cuff tear arthropathy. Page 34
- Figure 25. Patient positioning for shoulder arthroplasty. Page 40
- Figure 26. Incision for the deltopectoral approach. Page 41
- Figure 27. Developing the plane between the deltoid and pectoralis major. Page 41
- Figure 28. Placing stay sutures in the subscapularis tendon. Page 42
- Figure 29. Skin incision for the antero-superior approach. Page 42
- Figure 30. Detaching the acromial attachment of the deltoid. Page 43
- Figure 31. A- Identifying and incising the rotator interval. B- Dislocating the humeral head. Page 44
- Figure 32. Removal of osteophytes to identify the anatomical neck and guide wire insertion. Page 44
- Figure 33. Drilling the hole for the central peg. Page 45
- Figure 34. Reaming the humeral head. Page 46
- Figure 35. Using the glenoid drill guide to insert the guide wire. Page 47
- Figure 36. Drilling the hole for the central peg of the glenoid component. Page 48
- Figure 37. Reaming the glenoid. Page 48
- Figure 38. Impaction of the glenoid component. Page 49
- Figure 39. Placing bone graft and blood mix onto the undersurface of the humeral component. Page 50
- Figure 40. Impaction of the humeral component. Page 50
- Figure 41. Sketch of humeral component after impaction. Page 50

- Figure 42. Pre and post-operative X-rays of CSRA hemiarthroplasty. Page 52
- Figure 43. Pre and post-operative X-rays of CSRA total shoulder replacement. Page 53
- Figure 44. Passive forward flexion (supine). Page 56
- Figure 45. External rotation. Page 57
- Figure 46 The pulley exercise. Page 57
- Figure 47. Supine forward elevation with a stick. Page 59
- Figure 48. Standing press with stick. Page 60
- Figure 49. Wall stretch with one arm. Page 61
- Figure 50. Over door hang. Page 62
- Figure 51 Standing 90/90 stretch. Page 62
- Figure 52. Internal rotation using a towel. Page 63
- Figure 53 Posterior capsule stretch. Page 63
- Figure 54. Resisted external rotation. Page 65
- Figure 55. Resisted internal rotation. Page 65
- Figure 56. Resisted abduction. Page 66
- Figure 57 Resisted extension. Page 66
- Figure 58 Resisted forward flexion. Page 66
- Figure 59 Standing press with weight. Page 67
- Figure 60. Humeral head and GH measurements taken. Page 72

List of tables

- Table 1 Scoring for individual parameters. Page 31.
- Table 2 Postoperative Rehabilitation Guidelines for Shoulder Arthroplasty: Total Shoulder Replacement, Humeral Head Replacement, and Intact Rotator Cuff. Page 68.
- Table 3 Postoperative Rehabilitation Guidelines for Shoulder Arthroplasty: Medium Rotator Cuff Repair. Page 69.
- Table 4 Postoperative Rehabilitation Guidelines for Shoulder Arthroplasty: Large or Massive Rotator Cuff Repair. Page 70.
- Table 5 Measurements from plain films. Page 73.
- Table 6 Age-sex adjusted Constant scores. Page 73.
- Table 7 Preoperative details of the 94 patients (103 shoulders) who underwent cementless surface replacement arthroplasty. Page 78.
- Table 8 Follow-up results for the 94 patients (98 shoulders). Page 78.
- Table 9 Preoperative and follow-up review functional scores. Page 79.
- Table 10 Mean range of motion. Page 80.
- Table 11 Raw mean Constant score outcomes. Page 81.

Abbreviations

AC Acromio-clavicular

AVN Avascular necrosis

CSRA Cementless surface replacement arthroplasty

CTA Cuff tear arthropathy

GH Gleno-humeral

HHR Humeral head replacement

IGHLC Inferior gleno-humeral ligament complex

MGHL Midlle gleno-humeral ligament

PC Posterior capsule

RA Rheumatoid arthritis

ROM Range of motion

SGHL Superior gleno-humeral ligament

TSA Total shoulder arthroplasty

TSR Total shoulder replacement

Introduction

The indications and use of shoulder arthroplasty has dramatically increased over the last decade, and this trend will continue in the future. The average age of our population is increasing, yet there is a strong desire to remain active and viable. The majority of people will not accept limitation of a joint function that compromises their life styles if a reasonable surgical solution is available. Our knowledge of disease processes has broadened and improved our understanding about how best to manage these problem's clinically. Technology and innovation have provided us with options that were not possible before. However, a successful shoulder arthroplasty depends not only on knowledge and modern technology but also on sound clinical judgment, accurate surgical technique, and appropriate postoperative rehabilitation.(1)

Shoulder arthroplasty reliably relieves pain and improves function in the majority of patients with painful arthritic shoulders. However, with modern prosthetic designs, improved anatomical understanding, and continued emphasis on proper rehabilitation, our goals of restoring nearnormal function are more often realized. (2)

History

Modern shoulder replacement has developed in two different sources. In Europe it has mainly evolved from massive replacement of the proximal humerus for tumour (3) .In the USA, Dr Charles Neer was developing a shoulder replacement for fractures of the proximal humerus .(4) In 1979 work was started to develop a surface replacement arthroplasty of the shoulder. Many different designs were tried on dry bones to try and determine the best method of fixation for this surface cap. From the outset it was designed as a cementless prosthesis. The

Indications

The most common pathologic condition leading to shoulder arthroplasty is osteoarthritis of the glenohumeral joint. It accounts for more than 60% of all shoulder arthroplasties performed. Rheumatoid arthritis (RA) and other inflammatory conditions account for approximately 30% of all shoulder arthroplasty (6). Avascular necrosis account for approximately 3% of patients treated with shoulder arthroplasty. In 1983, Neer et al. described rotator cuff arthropathy (CTA) as arthritis associated with a massive rotator cuff tear and superior migration of the humeral head (7). Primary and secondary arthritis of the shoulder is the commonest indication for resurfacing arthroplasty of the shoulder. The prosthesis has been used for osteoarthritis, rheumatoid arthritis, avascular necrosis, cuff arthropathy, instability arthropathy, post trauma arthritis, post infective arthritis, arthritis secondary to glenoid dysplasia and ephiphysial dysplasia. It is not intended for use in fresh fractures. (5)

Aim of the work

To show that in patients with glenohumeral pain, resurfacing of the humeral head with a cup seems to be a good alternative to conventional stemmed prostheses. It diminishes the risk of peroperative complications involving the humeral shaft and late periprosthetic fractures. Revision or arthrodesis can be undertaken easily because the bone stock has been maintained with no loss of length. The main advantages of humeral head resurfacing are preservation of bone and the relatively simple surgical technique. (8)

Results

Over the past ten years the design of the surface replacement prosthesis has evolved, but the basic concept and design of surface replacement, minimal removal of bone and cementless fixation has remained constant. The surface replacement prosthesis has demonstrated results equal to those of conventional stemmed prostheses suggesting that the humeral component does not need a stem or cement for fixation (5).

References:

- 1. Louis U. Bigliani, MD Evan L. Flatow, MD, preface of the shoulder arthroplasty
- 2. William N. Levine and Steven Aviles, the shoulder arthroplasty page 30
- 3. Burrows HJ, W.J., Scales JT, Excision of tumours of humerus and femur, with restoration by internal prostheses. J Bone Joint Surg [Br], 1975. 57-B: p. 148-59.
- 4. Neer, Articular replacement for the humeral head. J Bone Joint Surg [Am], 1955. 37-A: p. 215-28.
- 5. Levy, O. and S.A. Copeland, Cementless surface replacement arthroplasty of the shoulder. 5- to 10- year results with the Copeland mark-2 prosthesis. J Bone Joint Surg Br, 2001. 83(2): p. 213-21.
- 6. Hill JM. Total Shoulder Arthroplasty: Indications. In Total Shoulder Arthroplasty, LA Crosby, Editor. Rosemont, IL: AAOS, 2000;17–25.
- 7. Neer, CS II, Craig EV, Fukuda H. Cuff-tear arthropathy. J Bone Joint Surg (Am) 1983;65(9):1232–1244.
- 8. Techniques in Orthopaedics. 18(3):267-271, September 2003. *Rydholm, Urban M.D., Ph.D.*

Anatomy and Biomechanics of the Glenohumeral joint

Anatomy and Biomechanics of the Glenohumeral Joint

The clavicle, scapula and humerus form an intercalated complex working in concert during shoulder motion. The scapula is encased in muscle and therefore has a pseudo-articulation with the chest wall. The scapula is tilted obliquely forward at an angle of 30° from the coronal plane and is tilted 3° medially from the sagittal plane [1].

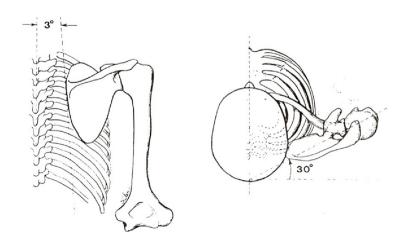


Figure 1. Orientation of the scapula with reference to the chest wall [1].

The thickened lateral aspect of the scapula forms the glenoid, which serves as the articular platform for the proximal aspect of the humerus. The glenoid is separated from the body of the scapula by the scapular neck. The glenoid has an average superior tilt of 5°, which helps control inferior stability. It is generally retroverted with respect to the body of the scapula at an average of 7° [1, 2]

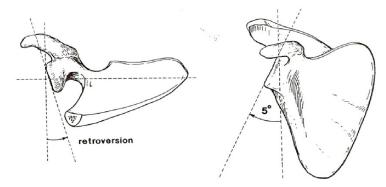


Figure 2. The glenoid version [1].

The proximal aspect of the humerus consists of the humeral head, anatomic neck and metaphysis. The humeral head has an average retroversion of 30 to 40° relative to the transcondylar axis of the distal humerus. The anatomic neck shaft angle of the proximal humerus averages 130 to 140° [1, 2].

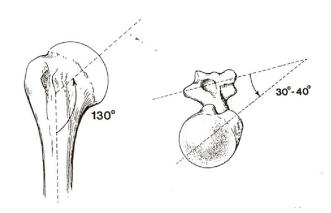


Figure 3. Humeral articular orientation averages 130° at the neck shaft and 30 to 40° of retroversion with respect to the transepicondylar axis [1].

The greater and lesser tuberosities arise from the metaphyseal region and are located on opposite sides of the bicipital groove. The tuberosities play an important role in shoulder function, as they serve as the attachment site for the rotator cuff tendons, with subscapularis inserting on the lesser tuberosity and the supraspinatus, infraspinatus and teres minor inserting on the greater tuberosity. The bicipital groove serves as a trochlea for the long head of biceps tendon, which goes into the glenohumeral (GH) joint to attach to the supraglenoid tubercle. The tendon is constrained in the groove by the transverse humeral ligament as well as the rotator cuff insertions into the tuberosities.

The GH joint is formed by the articulation between the hemispherical humeral head and the relatively smaller, shallow glenoid fossa. The radii of curvature of the two surfaces are well matched to within 2mm of each other [3]. However, a significant mismatch in size between the two leaves the articulation with very little inherent stability. The glenoid fossa is pear shaped, with average vertical and horizontal dimensions of 35mm and 25mm respectively [4]. The articular surface of the larger humeral head has an average vertical dimension of 48mm and an average transverse dimension of 45mm. Around 25 to 30 percent of the humeral head is articulating with the glenoid socket at any time. This geometry will allow

for more motion freedom at the expense of stability, therefore stability of the joint is provided by a group of static and dynamic stabilizers.

A. Static Stabilizers

The static stabilizers of the shoulder joint include the glenoid labrum, joint capsule, GH ligaments and coracohumeral ligament. The capsuloligamentous structures play a greater role in joint stability as they help to prevent excessive translation and rotation of the humeral head on the glenoid during activity of the upper extremity.

- 1. Rotator interval: a wedge shaped region bordered by the subscapularis inferiorly, the supraspinatus superiorly and the hood over the bicipital groove laterally. This interval contains the coracohumeral ligament and the superior GH ligament both of which prevent excessive inferior translation and external rotation with the arm at the side. They may also aid in preventing excessive posterior translation when the shoulder is in a flexed, adducted and internally rotated position.
- 2. *Middle GH ligament*: Maybe absent in 30% of people. When present it acts to prevent anterior translation of the humeral head when the shoulder is externally rotated and abducted 45° [1, 2]. It also serves to limit external rotation of the adducted shoulder and inferior displacement of the adducted, externally rotated shoulder [1].
- 3. Inferior GH ligament complex (IGHLC): this is the most important static stabilizer of the joint. The complex is composed of the inferior capsule suspended between two ligamentous bands, the anterior and posterior bands of the IGHLC [6]. The IGHLC tightens in a reciprocal fashion depending on the rotation of the humeral head and can act to prevent inferior translation of the abducted shoulder at differing rotational positions. Its primary role is to prevent anteroinferior translation in the abducted and externally rotated shoulder [7].
- 4. *Posterior capsule*: is the thinnest portion of the capsuloligamentous structures. Its primary function is believed to be in limiting posterior translation of the humeral head.