

A pharmaceutical study on the pulmonary delivery of an anti-asthmatic drug

A thesis submitted in partial fulfillment of the requirements for Master Degree in Pharmaceutical Sciences (Drug technology).

By

Dalia Mahmoud Hanafy Mahmoud El-baihary

Bachelor of Pharmaceutical Sciences, 2007, Ain Shams University.

Teaching assistant, Department of Pharmaceutics and Industrial Pharmacy, Ain Shams University.

Under supervision of

Prof. Dr. Omaima Ahmed Sammour

Professor of Pharmaceutics and Industrial Pharmacy Faculty of Pharmacy, Ain Shams University.

Dr. Rihab Osman Ahmed

Associate Professor of Pharmaceutics and Industrial Pharmacy Faculty of Pharmacy, Ain Shams University.

Department of Pharmaceutics and Industrial Pharmacy,
Faculty of Pharmacy,
Ain Shams University, Cairo, Egypt.
(2016)

Acknowledgements

First and foremost thanks to God by the grace of whom this work was achieved.

Words are not enough to express my profound gratitude to the ideal mother **Prof. Dr. Omaima Ahmed Sammour**, professor of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University. I am indebted to her for the great help and effort she devoted for the completion of this thesis, for her instructive supervision, valuable advises continuous guidance, generous attitude and moral support. She deserves special thanks for her insightful comments on the study and methodology considerations.

I am also grateful to **Dr. Rihab Osman Ahmed**, associate Professor of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University, for her wisdom, extreme patience, the critical comments, suggestions, valuable advices, efforts for this work, professionalism and encouragement and for pushing me farther than I thought I could go. I owe her a huge debt of gratitude for providing me with a wealth of information and much of material used in this work.

I am greatly thankful to **Dr. Mona Abdel-Mottaleb**, lecturer of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University, for her help during administration of intravenous injections to rats in the *in-vivo* study.

I am also very thankful to **Dr. Hend Abdel-bar**, researcher of Pharmaceutics, National Organization for Drug Control and Research, for her help in conducting the *in-vivo* experiments and for always listening and giving me words of encouragement.

I am so much thankful to **Dr. Rania Hathout**, associate professor of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University, for the support she gave me in many ways, throughout my under- and post-graduate career and especially during the accomplishment of this master thesis.

I would like to express my deep thanks to all my colleagues in the Department of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University. Thanks go to my very honest brother, **Karim Saber**, assistant lecturer of Pharmaceutics and Industrial Pharmacy, Faculty of Pharmacy, Ain Shams University, for his technical and knowledgeable advice and support.

Dedications

I am forever indebted to my mum, who suffered a lot from my hectic working hours. She has always believed in me and stood by my side for better and for worse. Mum, without your support, this thesis would never have seen the light. This was your dream. I wish I have made you proud and give you part of the joy you have always given me.

List of contents

| Topic | Page |
|---|-------------|
| List of abbreviations | I |
| List of tables | IV |
| List of figures | IX |
| Abstract | XVIII |
| General Introduction | 1 |
| Scope of work | 14 |
| Chapter I: Formulation and characterization of ketotifen/hyal acid microparticles for pulmonary delivery. | luronic |
| Introduction | 16 |
| Experimental | 23 |
| Methodology | 25 |
| (1) Spectrophotometric assay of KT | 25 |
| (1.1) UV Spectrophotometric scanning of KT in different me determination of λ_{max} | dia and |
| (1.2) Estimation of KT calibration curve in different media | 25 |
| (2) Preparation of spray dried KT loaded hyaluronic acid microp (KT-HA MPs) | articles 26 |
| (3) Preparation of KT loaded hyaluronic acid/chitosan microp (KT-HA/CS MPs) | articles 26 |
| (3.1) Experimental design | 26 |
| (3.2) Preparation of HA/CS microparticles | 27 |
| (4) Characterization of freshly prepared KT-HA/CS dispersions | 29 |
| (4.1) Particle size (PS) determination | 29 |

| (4.2) KT entrapment efficiency (EE%) | 29 |
|---|---------|
| (5) Spray dried powders (SDP) characterization | 2 |
| (5.1) Powder yield | 29 |
| (5.2) Taste evaluation | 29 |
| (5.3) Drug content in SDP | 30 |
| (5.4) Moisture content | 30 |
| (5.5) Powder flow properties | 31 |
| (5.6) Particle size determination and mass median aerodyn diameter (MMAD) calculation | amic 32 |
| (6) In-vitro KT release study | 33 |
| (7) Mechanism and mathematical modeling of drug release | 33 |
| (8) <i>In-vitro</i> swelling studies | 35 |
| (9) Drug-polymers interaction study | 35 |
| (9.1) Fourier transform-infrared (FT-IR) spectroscopy | 35 |
| (9.2) Differential scanning calorimetry (DSC) | 35 |
| (9.3) X-ray powder diffraction (XRPD) | 36 |
| (10) Morphological examination of MPs | 36 |
| (11) In-vitro aerodynamic deposition | 36 |
| (12) Determination of storage stability | 39 |
| (13) Statistical analysis | 39 |
| Results and Discussion | 41 |
| (1) Spectrophotometric assay of KT | 41 |
| (1.1) UV Spectrophotometric scanning of KT in different media | 41 |
| (1.2) Calibration curve of KT in different media | 41 |
| (2) Characterization of HA-KT SDP | 45 |

| (3) Characterization of KT-HA/CS MPs | 47 |
|---|-------------|
| (3.1) Factorial analysis of entrapment efficiency (EE%) response | 48 |
| (4) Characterization of KT-HA/CS spray dried microparticles | 55 |
| (4.1) Yield, association efficiency percent and moisture content | 55 |
| (4.2) Powder flow properties | 56 |
| (4.3) Particle size, particle size distribution and mass me aerodynamic diameter (MMAD) | edian 57 |
| (5) <i>In-vitro</i> release study | 59 |
| (5.1) Factorial analysis of release % at 0.5h | 63 |
| (5.2) Factorial analysis of T _{80%} | 69 |
| (6) Mechanism and mathematical modeling for drug release | 75 |
| (7) <i>In-vitro</i> swelling studies | 78 |
| (8) Drug/polymers interactions | 82 |
| (8.1) FT-IR analysis | 82 |
| (8.2) DSC study | 87 |
| (8.3) X-ray powder diffraction (XRPD) | 89 |
| (9) Morphological examination | 91 |
| (10) In-vitro deposition studies using twin stage impinger | 98 |
| (11) Storage Stability study of selected SDP | 99 |
| Conclusions | 101 |
| Chapter II: Formulation and characterization of ketotifen-dext | ran |
| sulfate nanocomplexes for pulmonary delivery. | |
| Introduction | 104 |
| Experimental | 110 |
| Methodology | 112 |

| (1) Experimental design | 112 |
|---|-----------------|
| (2) Preparation of KT/DS nanocomplexes | 114 |
| (3) Nanocomplexes drying | 117 |
| (3.1) Nanocomplexes freeze drying | 117 |
| (3.2) Nanocomplexes spray drying | 117 |
| (4) Particle characterization | 118 |
| (4.1) NCs characterization | 118 |
| (4.1.1) Particle size (PS) determination | 118 |
| (4.1.2) Zeta potential (ζ) determination | 118 |
| (4.1.3) Morphological examination | 118 |
| (4.1.4) NCs drug complexation efficiency (CE%) | 118 |
| (4.1.5) Colloidal stability of freeze dried (FD) NCs | 120 |
| (4.2) Spray dried nanocomplexes (SD-NCs) characterization (MPs) | (NCs-in- 120 |
| (4.2.1) Spray dried powder yield | 120 |
| (4.2.2) Taste evaluation | 120 |
| (4.2.3) Powder flow properties | 120 |
| (4.2.4) Moisture content | 121 |
| (4.2.5) Particle size (PS) determination and calculation median aerodynamic diameter (MMAD) | of mass |
| (4.2.6) Drug content in spray dried powders (SDP) | 121 |
| (4.2.7) Scanning electron microscope (SEM) | 121 |
| (4.2.8) Recovery of NCs from the microparticles (MPs) | 122 |
| (5) Drug-polymer interaction study | 122 |
| (5.1) Differential scanning calorimetry (DSC) | 122 |

| (5.2) X-ray powder diffraction (XRPD) | 122 |
|---|-----------------|
| (5.3) Fourier transform infrared (FT-IR) spectroscopy | 122 |
| (6) In-vitro aerodynamic deposition | 122 |
| (7) In-vitro KT release study | 123 |
| (8) Mechanism and mathematical modeling of drug release | 123 |
| (9) Determination of storage stability | 124 |
| (10) Statistical analysis | 124 |
| Results and Discussion | 125 |
| (1) Preparation of KT/DS NCs | 125 |
| (1.1) DS solution volume effect | 125 |
| (1.2) Effect of pH | 127 |
| (1.3) Effect of KT and DS concentration | 132 |
| (1.4) Effect of surfactant type and concentration | 139 |
| (2) Freeze drying of NCs | 146 |
| (2.1) Cryoprotectant type and concentration | 147 |
| (2.2) Effect of trehalose (5%w/v) on freeze drying of KT/DS formulae | selected 149 |
| (2.3) Colloidal stability of freeze dried KT/DS NCs | 151 |
| (2.3.1) Effect of dispersion medium (deionized water) characteristics of freeze dried NCs | pH or 153 |
| (2.3.2) Effect of dispersion medium ionic strength characteristics of freeze dried NCs | on the |
| (3) Morphological examination of NCs | 160 |
| (3.1) TEM of freshly prepared NCs | 160 |
| (4) Spray drying of KT/DS NCs | 162 |

| (4.1) Moisture content | 167 |
|--|--------------|
| (4.2) Morphological examination of MPs | 167 |
| (4.2.1) SEM imaging of MPs | 167 |
| (4.2.2) TEM of NCs recovered from NCs-in-MPs | 171 |
| (5) Drug-polymer interaction (complex characterization) | 172 |
| (5.1) DSC study | 172 |
| (5.2) X-ray powder diffraction (XRPD) | 175 |
| (5.3) FT-IR analysis | 177 |
| (6) <i>In-vitro</i> deposition studies using twin stage impinger | 181 |
| (7) <i>In-vitro</i> KT release study | 183 |
| (8) Mechanism and mathematical modeling for drug release | 185 |
| (9) Storage stability of SD-KT/DS NCs | 186 |
| Conclusions | 188 |
| Chapter III: In-vivo evaluation of ketotifen loaded inhalable sp | ray |
| dried powder formulae. | |
| Introduction | 191 |
| Experimental | 195 |
| Methodology | 197 |
| (1) Lung deposition of swellable HA/CS SDP (SD-V8) | 198 |
| (2)Pharmacokinetic study of KT loaded inhalable spray dried powder | 200 |
| (2.1) Assay of KT in rat plasma, bronchoalveolar lavage (BAL) lung homogenate (LH) |) and 200 |
| (2.1.1) Chromatographic conditions | 200 |
| (2.1.2) Method validation | 200 |
| (2.1.2.1) Selectivity | 201 |

| (2.1.2.2) Linearity and range | 201 |
|--|-----|
| (2.1.2.3) Recovery | 201 |
| (2.1.2.4) Accuracy and precision | 201 |
| (2.1.2.5) Limit of detection (LOD) | 202 |
| (2.1.2.6) Limit of quantitation (LOQ) | 202 |
| (2.2) Pharmacokinetic study and drug administration | 202 |
| (2.3) Biological samples collection | 203 |
| (2.4) Pharmacokinetic analysis | 204 |
| (2.5) Tissue (lung)-plasma partition of KT | 205 |
| (3) Pharmacodynamic study on SD-P6Xb (KT/DS NCs-in-MPs) | 205 |
| (3.1) Sensitization and provocation of asthma in rats | 205 |
| (3.2) Drug administration | 206 |
| (3.3) Pharmacodynamic analysis | 207 |
| (3.3.1) Bronchoalveolar lavage (BAL) cell count | 207 |
| (3.3.2) Histopathological examination | 207 |
| (4) Statistical analysis | 208 |
| Results and Discussion | 209 |
| (1) Lung deposition and localization of swellable HA/CS SDP | 209 |
| (2) Pharmacokinetic study | 214 |
| (2.1) UPLC method of ketotifen in different biological samples | 214 |
| (2.1.1) Validation of the UPLC method | 214 |
| (2.1.1.1) Selectivity | 214 |
| (2.1.1.2) Linearity and range | 216 |
| (2.1.1.3) Recovery | 217 |

| T | | C | \sim | | |
|---|-----|------------|----------|--------------|----------|
| | 101 | Ω t | IΛ | nte | ents |
| ட | ust | VI. | ω | \mathbf{I} | . 11 (.) |

| (2.1.1.4) Accuracy and precision | 218 |
|--|-----|
| (2.1.1.5) Limit of detection | 220 |
| (2.1.1.6) Limit of quantitation | 220 |
| (2.2) Pharmacokinetic analysis | 221 |
| (2.2.1) Plasma pharmacokinetic parameters | 221 |
| (2.2.2) Lung homogenate pharmacokinetic parameters | 226 |
| (2.2.3) Lung lavage pharmacokinetic parameters | 231 |
| (2.3) Tissue (lung)-plasma partition of KT from all groups | 235 |
| (3) Pharmacodynamic study | 236 |
| (3.1) Bronchoalveolar lavage (BAL) cell count | 236 |
| (3.2) Histopathological examinations | 238 |
| Conclusions | 242 |
| Summary | 244 |
| References | 252 |
| Appendix I | |
| Ethical committee approval for in-vivo studies | |
| Appendix II | |
| Published research article | |
| Arabic summary | 1 |

List of Abbreviations

ANOVA Analysis of variance

AUC Area under the curve

BAL Brochoalveolar lavage

CE Complexation efficiency

CCI Carr's compressibility index

CS Chitosan

C_{max} Maximum ketotifen concentration

CV% Coefficient of variation percent

DS Dextran sulfate

DLS Dynamic light scattering

DSC Differential scanning calorimetry

DMSO Dimethyl sulfoxide

EE Entrapment efficiency

FD Freeze dried

2FI Two-factor interaction

FT-IR Fourier transform infrared spectroscopy

HCl Hydrochloric acid

HGC Hard gelatin capsule

HR Hausner ratio

i.v. intravenous

KT Ketotifen

kV Kilovolt

IR Infrared

LEU leucine

LH Lung homogenate

ln Natural logarithm

LPPs Large porous particles

LOD Limit of detection

LOQ Limit of quantitation

UPLC Ultra performance liquid chromatography

MMAD Mass median aerodynamic diameter

mV Millivolt

Mwt Molecular weight

mW Milliwatt

MPs Microparticles

MS Microspheres

nm Nanometer

NCs Nanocomplexes

ND Not determined

NPs Nanoparticles

NCs-in-MPs Nanocomplexes-in-microparticles

PBS Phosphate buffer solution

P/D Polymer to drug ratio

PDI Polydispersity index

PE Polyelectrolyte

PECs Polyelectrolyte complexes

PEO Polyethylene oxide

pKa Ionization constant

PK Pharmacokinetic

PPO Polypropylene oxide

PS Particle size

 $R_{\%0.5h}$ % release at 0.5 h

RP% Respirable particle percent

RT Respiratory tract

sd Standard deviation

SD Spray dried

SDP Spray dried powders

SE Standard error

SEM Scanning electron microscopy

SI Swelling index

SLN Solid lipid nanoparticles

SS Sum of squares

T_{80%} Time required for 80% release

 $t_{1/2}$ Half-life time

TEM Transmission electron microscope

TGA Thermogravimetric analysis

TLC Total leukocyte count

TSI Twin stage impinger

VMD Volume mean diameter

XRPD X-ray powder diffraction

ζ Zeta potential

 λ_{max} Wavelength of maximum absorption

O Angle of repose