Serum Neutrophil Gelatinase Associated Lipocalin (NGAL) as a Predictor of Acute Kidney Injury in Perinatal Asphyxia

Thesis

Submitted for Partial Fulfillment of Master Degree
In Pediatrics

Ву

Seham Ahmed Abdel Hamed El Beltagy

(M.B; B.Ch.), Menofia University (2004)

Supervised By

Prof. Nehal Mohamed El Raggal

Professor of Pediatrics
Faculty of Medicine - Ain Shams University

Dr. Nermin Helmy Mahmoud

Assistant Professor of clinical pathology Faculty of Medicine - Ain Shams University

Dr. Soha Mohamed Khafagy

Lecturer of Pediatrics
Faculty of Medicine - Ain Shams University

Faculty of Medicine
Ain Shams University
2011

تقييم مستوى (ن.ج.ا.ل) بالمصل كعامل تنبوء بالاصابة الكلوية الحادة في حالات إختناق ما حول الولادة

رسالة توطئة للحصول على درجة الماجستير في طب الأطفال

مقدمة من الطبيبة سمهام احمد عبد الحميد البلتاجي بكالوريوس الطب والجراحة جامعة المنوفية

تحت إشراف أستاذ دكتور / نهال محمد الرجال أستاذ طب الأطفال كلية الطب - جامعة عين شمس

دكتور / نرمين حلمى محمود أستاذ مساعد الباثولوجيا الاكلينيكية كلية الطب - جامعة عين شمس

دكتور / سها محمد خفاجى مدرس طب الأطفال كلية الطب - جامعة عين شمس

> كلية الطب جامعة عين شمس ٢٠١١

Summary and Conclusion

Perinatal asphyxia is the medical condition resulting from deprivation of oxygen (hypoxia) to a newborn infant long enough to cause apparent harm.

The aim of this work was to evaluate the value of serum NGAL measurement as an early predictor of acute kidney injury in asphyxiated neonates.

Our study was a case - control study, carried on 20 term newborns diagnosed as HIE. Cases group had mean $G.A(37.9 \pm 1.1)$ weeks and birth weight $.3050\pm 506.3$ gm .while control group(20 term healthy newborns) had mean $G.A(38.1\pm 1.07)$ weeks and birth weight (3317.5 ± 409.5) gm.

Perinatal asphyxia was diagnosed based on the presence of at least two of the following:

- 1. Profound metabolic or mixed acidemia (pH<7.00) in an umbilical artery blood sample with base deficit >10m mol/L.
- 2. Persistence of Appar score of ≤ 3 and ≤ 5 at one and five minutes respectively.
- 3. Neonatal neurological sequelae (seizures, coma, hypotonia).
- 4. Multiple organs involvement (kidney, lungs, liver, heart, intestine).

Both groups were subjected to complete history taking, thorough clinical examination, laboratory investigations including CBC, CRP, BUN, Cr.

Serum samples were collected in the first 24hrs of life from cases and controls in order to detect serum NGAL levels by ELIZA.

In the present study, the median level of serum NGAL in control group was 39.75ng/ml with IQ range of 6.0-48.0 ng/ml

Contents

	Page
List of Abbreviations	II
List of Tables	IV
List of Figures	V
Introduction	1
Aim of The Work	
Review of Literature	
o Hypoxic-Ischemic Encephalopathy (HIE)	4
 Acute renal failure of newborn. 	29
 Neutrophil Gelatinase Associated Lipocalin 	46
Subjects and Methods	59
Results	
Discussion	
Summary and Conclusion	
Recommendations	
References	
Appendix	
Arabic Summary	

.....

List of Abbreviations

AAP American Academy of pediatrics

ABG Arterial Blood Gases

ACOG American college of obstetrics and Gynecologists

ADH anti diuretic hormone

ADPKD autosomal-dominant polycystic kidney disease

AKI Acute kidney injury

AMPA amino-3-hydroxy-5-methyl-4 isoxazole propionate

APR acute phase response **ARF** acute renal failure ATN acute tubular necrosis ATP Adenosine triphosphate **BUN** Blood urea nitrogen CBF cerebral blood flow **CKD** chronic kidney disease **CNS** Central nervous system

CRP C-reactive protein
CSF cerebrospinal fluid

D*HUS Diarrhea-associated hemolytic-uremic syndrome

DIC disseminated intravascular coagulation

DMSA Dimercaptosuccinic acid

DTPA diethylene-triamine-penta-acetic acid

EAA Excitatory amino acid

eGFR estimated Glomerular Filtration Rate

ER endoplasmic reticulum ESRD end-stage renal disease

FENa Fractional excretion of sodium

GABA gamma-aminobutyric acid transaminase

GFR glomerular filtration rate

GGT gamma glutamyl transpeptidase

HCG higher cystic growth

HER-2 Human epidermal growth receptor 2

...

List of Abbreviations (Cont.)

HIE Hypoxic-Ischemic Encephalopathy

HMW high molecular weight 13-cisRA 13-cis retinoic acid

HNL Human neutrophil lipocalin

HO-1 hemeoxygenase 1

IL interleukin
IL-18 interleukin 18
IL-1beta interleukin-1beta

ILCOR International Liaison Committee on Resuscitation

IVH Intraventricular Hemorrhage
 KIM-1 kidney injury molecule 1
 MRI Magnetic Resonance Imaging
 NAG N-acetyl glucosaminidase
 NEC Necrotizing Enterocolitis

NHE3 sodium hydrogen exchanger Isoform 3

NL neutrophil lipocalin NMDA N-methyl-D-aspartate NOS nitric oxide synthase

NRP Neonatal Resuscitation Program

OA Osteoarthritis SF synovial fluid

PCI percutaneous coronary interventions with coronary

angiography

PVL Periventricular Leukomalacia

RI Resistive index SCr Serum creatinine

SIADH syndrome of inappropriate antidiuretic hormone

SLE Systemic Lupus Erythrematosus

sNGAL Serum neutrophil gelatinase-associated lipocalin uNGAL Urine neutrophil gelatinase-associated lipocalin

 α -GST α - glutathione S-transferase π -GST π -glutathione S-transferase

List of Tables

No.	Title	Page
(1)	Essential criteria of Perinatal Asphyxia	5
(2)	Etiologies of perinatal asphyxia	6
(3)	Sarnat and Sarnat stage of hypoxic-ischemic encephalopathy	12
(4)	Modified Staging of HIE	13
(5)	Urinary indices of acute renal failure	37
(6)	Serum NGAL values in control group	66
(7)	Relation of serum NGAL to gender and mode of delivery in control group	66
(8)	Correlation between NGAL and Gestational age, Birth weight, Creatinine and BUN in control group.	67
(9)	Descriptive data of cases and control group	70
(10)	Clinical characteristics of the cases	71
(11)	Comparison between cases and control groups as regards their1st day laboratory data:	74
(12)	Comparison between cases and control group regarding their serum NGAL levels	76
(13)	Correlation between NGAL, creatinine and BUN in cases.	77
(14)	Correlation of serum NGAL in case group with Appar score and grading of HIE	79
(15)	Comparison between cases with ARF and cases without ARF with regards to their serum NGAL levels.	80
(16)	Serum NGAL among asphyxiated neonates with different criteria (clinical and laboratory)	81

. . .

List of Figures

Fig.	Title	Page
(1)	Mechanism of neuronal damage.	9
(2)	Pathophysiology of hypoxic-ischemic brain injury in the developing brain	10
(3)	PVL in a preterm (30 weeks gestation) infant	16
(4)	Cystic PVL in a preterm (28 weeks gestation) infant.	17
(5)	Germinal matrix hemorrhage in a preterm (28 weeks gestation) infant with Appar scores of 4 at 1 and 5 minutes,	17
(6a)	Deep gray matter injury in a preterm (34 weeks gestation) infant	17
(6b)	Deep gray matter injury in a preterm (34 weeks gestation) infant	18
(7)	Parasagittal cortex and subcortical white matter brain injury in a term (38 weeks gestation) infant with a history of birth asphyxia, low Apgar scores, and moderate hypotension.	19
(8)	Changes in cellular metabolism at MR spectroscopy in a term neonate.	19
(9a)	Central gray matter pattern of injury in a term (36 weeks gestation) infant.	20
(9b)	Central gray matter pattern of injury in a term (36 weeks gestation) infant.	20
(10)	Mixed pattern of injury in a term (42 weeks gestation) infant .Axial CT scan obtained on day 1 of life	20
(11)	Pathogenesis of prerenal failure	32
(12)	Mechanisms of intrinsic acute renal failure	33
(13)	A photomicrograph of renal biopsy	33
(14)	The molecular structure of NGAL	47
(15)	Early diagnosis of Acute Kidney Injury with urine NGAL	50

List of Figures

Fig.	Title	Page
(16)	Correlation between NGAL and gestational age	67
	in control group	
(17)	Correlation between NGAL and birth weight in	68
	control group	
(18)	Correlation between NGAL and BUN in control	68
	group	
(19)	Correlation between NGAL and creatinine in	69
(17)	control group	
(20)	Mortality among cases	72
(21)	Convulsion among cases	72
(22)	Urine output among cases	73
(23)	Need for mechanical ventilation among cases	73
(24)	Comparison between cases and control group as	75
(24)	regards BUN and creatinine levels	
(25)	Median and interquartile range of NGAL levels	76
(25)	between cases and control group	
(26)	Correlation between NGAL and BUN in cases	77
(27)	Correlation between NGAL and creatinine in	78
	cases	
(28)	Correlation between NGAL and Grading in	79
	cases	
(29)	Comparison between cases with ARF and cases	80
	without ARF as regards to their serum NGAL	
	levels	
(30)	Roc curve for NGAL	82.



First, thanks are all due to Allah for Blessing this work until it has reached its end, as a part of his generous help throughout our life.

I find no words by which I can express my extreme thankfulness, deep appreciation and profound gratitude to my eminent **Prof. Dr. Nehal Mohamed El Raggal** Professor of Pediatrics, Faculty of Medicine Ain Shams University, for giving me the privilege of working under her meticulous supervision and for her generous help, guidance, kind encouragement and great fruitful advice during supervision of this work.

Grateful acknowledgement and deep appreciation are conveyed to Assistant Prof. Dr. Nermin Helmy Mahmoud Assistant Professor of clinical pathology Ain Shams University for her great support and continuous support and sincere advice during the practical part of this work.

I am deeply indebted to, **Dr. Soha Mohamed Khafagy** Lecturer of Pediatrics, Faculty of Medicine Ain Shams University for her great support and careful supervision which helped me to overcome many difficulties.



INTRODUCTION

Perinatal asphyxia is the medical condition resulting from deprivation of oxygen (hypoxia) to a newborn infant long enough to cause apparent harm. It results most commonly from a drop in maternal blood pressure or interference during delivery with blood flow to the infant's brain. This can occur due to inadequate circulation or perfusion, impaired respiratory effort or inadequate ventilation. Perinatal asphyxia happens in 2 to 10 per 1000 newborns that are born at term. (*Barkovich and Truwit, 2008*).

Hypoxic damage can occur to most of the infant's organs (heart, lung, liver, gut, and kidney). Acute kidney injury, formly known as acute renal failure, continues to represent a very common and potentially devastating problem in neonatal ICU (*Bailey et al.*, 2007).

In current clinical practice, acute kidney injury is typically diagnosed by measuring serum creatinine .Unfortunately; creatinine is an unreliable indicator of AKI (*Bellomo et al.*, 2004) .Serum creatinine varies with age, sex, muscle bulk, metabolism, drugs and hydration status. It will not change until >50% of kidney function has already been lost (*Deverajan*, 2007). Hence identification of a novel AKI biomarker has been designated as a top priority by the American Society of Nephrology (*American Society of Nephrology*, 2005).

Neutrophil gelatinase associated lipocalin or NGAL is a 25kDa secretory glycoprotein, belongs to the lipocalin family of proteins. Human NGAL was originally isolated from the supernatant of activated neutrophils. Renal expression of NGAL increases dramatically after renal ischemia, .This is reflected by the rapid rise in urinary NGAL reported in AKI. Serum and urine NGAL has been demonstrated to be a sensitive and specific early marker of AKI (*Mishra et al.*, 2005).

Introduction

To the best of our knowledge, there is only one report studying urinary NGAL levels in preterm neonates. This report stated that serum and urine NGAL has been demonstrated to be a sensitive and specific early marker of AKI in these neonates (Michael et al., 2008).

2

Aim of The Work

Aim of The Work

This study was designed to evaluate the value of serum NGAL measurement as an early predictor of acute kidney injury in asphyxiated neonates.

Perinatal Asphyxia and Hypoxic Ischemic Encephalopathy

Introduction and Definition:

Hypoxic-ischemic encephalopathy (HIE) is defined as; the interruption of supply of vital nutrients to the brain, mainly oxygen and glucose, sufficiently substantial to cause irreversible damage. When the brain is depleted of oxygen, the result is hypoxic encephalopathy while impaired blood flow to the brain results in cerebral ischemia. Blood flow could be interrupted regionally, within a specific vascular distribution as with an embolic event causing a stroke, or globally as with a cardiopulmonary arrest leading to severe hypoxia and generalized ischemia (*Korthals and Colon, 2005*). When there is impairment in the exchange of respiratory gases, oxygen, and carbon dioxide, the result is asphyxia.

Perinatal Asphyxia is the medical condition resulting from deprivation of oxygen (hypoxia) to a newborn infant long enough to cause apparent harm. It results most commonly from a drop in maternal blood pressure or interference during delivery with blood flow to the infant's brain. This can occur due to inadequate circulation or perfusion, impaired respiratory effort or inadequate ventilation (*Barkovich and Truwit*, 2008).

There is no single tool that can yield a precise definion of Perinatal Asphyxia but the American Academy of pediatrics (AAP) and the American college of obstetrics and Gynecologists (ACOG) committees of maternal fetal medicine and fetus and newborn in 1996 defined certain criteria that must be present to confirm the occurrence of Perinatal asphyxia. In cases on which such evidence is lacking, it cannot be concluded that perinatal asphyxia exists (AAP and ACOG, 1996). (Table 1)

Review of Literature

Table (1): Essential criteria of Perinatal Asphyxia.

- 1) Profound metabolic or mixed acidemia (ph<7.00) in an umbilical cord arterial blood sample.
- 2) Persistence of an Appar score of 0 to 3>5 minutes.
- 3) Clinical neurologic sequelae in the neonatal period (e.g., seizures, hypotonia, coma or HIE).
- 4) Evidence of multiorgan system dysfunction in the immediate neonatal period.

(AAP and ACOG, 1996)

Incidence:

Hypoxic ischemic insult is an important cause of death and disability. In Egypt and other developing countries, perinatal asphyxia is the most important cause of hypoxic ischemic brain damage in the full-term newborn infants. HIE is known to lead to a higher morbidity and mortality among these infants (Boo et al., 2000). The incidence of perinatal asphyxia is about 1.0 to 1.5% in most centers and is usually related to gestational age and birth weight. It occurs in 9% of infants less than 36 weeks gestation (Legido et al., 2000), and in 0.5% of infants more than 36 weeks gestation accounting for 20% of perinatal deaths or as high as 50% of deaths if stillborns are included (Levene, 1999). The incidence is higher in term infants of diabetic or toxemic mothers. These factors correlate less well in preterm infants; intrauterine growth retardation and breech presentation are associated with an increased incidence of asphyxia. Post mature infants are also at risk (Aurora and Snyder, 2004).

Causes of Hypoxic-Ischemic Encephalopathy:

There are multiple causes of asphyxia, and they may be related to the maternal factors, placenta, umbilical cord, fetus, or infant (*Leuthner and Das, 2004*). Most cases of HIE result from injury in the prenatal period secondary to intrauterine asphyxia, with disturbance of gas exchange across the placenta and with respiratory failure at birth.