



# CELL OUTAGE COMPENSATION ALGORITHM FOR FREQUENCY REUSE ONE AND ICIC LTE NETWORKS

By Mai Osama Ahmed Aboelfadl Said

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the
Requirements for the Degree of
MASTER OF SCIENCE

in

Electronics and Communications Engineering

FACULTY OF ENGINEERING, CAIRO UNIVERSITY GIZA, EGYPT

2015

## CELL OUTAGE COMPENSATION ALGORITHM FOR FREQUENCY REUSE ONE AND ICIC LTE NETWORKS

By Mai Osama Ahmed Aboelfadl Said

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the
Requirements for the Degree of
MASTER OF SCIENCE
in

**Electronics and Communications Engineering** 

Under the Supervision of

Prof. Dr. Mohamed Mohamed Khairy

Professor,
Electronics and Communications Engineering,
Faculty of Engineering, Cairo University

Dr. Tamer Abdel Mottalib Elbatt

Associate professor,
Electronics and Communications
Engineering,

Faculty of Engineering, Cairo University

Dr. Omar Ahmed Nasr

Assistant professor,
Electronics and Communications Engineering
Faculty of Engineering, Cairo University

FACULTY OF ENGINEERING, CAIRO UNIVERSITY GIZA, EGYPT

## CELL OUTAGE COMPENSATION ALGORITHM FOR FREQUENCY REUSE ONE AND ICIC LTE NETWORKS

By

Mai Osama Ahmed Aboelfadl Said

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the
Requirements for the Degree of
MASTER OF SCIENCE

In

**Electronics and Communications Engineering** 

Approved by the Examining Committee

Prof. Dr. Mohamed Mohamed Khairy, Thesis Main Advisor

Dr. Tamer Abdel Mottalib Elbatt, Member

Dr. Yasmine Ali Fahmy, Internal Examiner

Prof. Dr. Elsayed Mostafa Saad, External Examiner (Electronics and Communications engineering Helwan University)

FACULTY OF ENGINEERING, CAIRO UNIVERSITY GIZA, EGYPT

Engineer's Name: Mai Osama Ahmed Aboelfadl Said

**Date of Birth:** 17/07/1986 **Nationality:** Egyptian

E-mail: mai osama21@yahoo.com

Phone: 01003581122

Address: 53 Sagr Qourish, New maadi, Cairo, Egypt

Registration Date:01 / 10 / 2010Awarding Date20 / 01 / 2015Degree:Master of Science

**Department:** Electronics and Communications Engineering

Supervisors: Prof. Dr. Mohamed Mohamed Khairy

Dr. Tamer Abdel Mottalib Elbatt

Dr. Omar Ahmed Nasr

**Examiners:** Prof. Dr. Elsayed Mostafa Saad (External Examiner)

Dr. Yasmine Ali Fahmy (Internal Examiner)

Dr. Tamer Abdel Mottalib Elbatt (Member)

Prof. Dr. Mohamed Mohamed Khairy (Thesis Main Advisor)

Title of Thesis: Cell Outage Compensation Algorithm for Frequency

Reuse One and ICIC LTE Networks

**Key Words:** LTE; cell outage compensation; inter-cell interference

coordination; load balancing; Self-Organizing Network

Summary: We propose an outage compensation algorithm based on

reconfiguring the surrounding cells to recover the users in the coverage hole, and provide an adequate capacity for the victim users. We propose the base station total transmission power and the mobility parameters as control parameters to achieve our compensation objective. Our algorithm targets maximizing the capacity of the victim MUEs; reducing the degradation in the compensating cells and the aggregate network capacity and maintaining the coverage of network cells during the compensation process on the downlink for homogenous LTE networks under a full load scenario The algorithm works in both frequency reuse one (FRone), and soft frequency reuse (SFR) networks. The SFR configuration has another degree of freedom that can be used to enhance the compensation

performance.

Simulation results show the effectiveness of the algorithm to rescue the victim users with minimal impact on the network

performance.

### **Acknowledgements**

First of all I must thank ALLAH for his great mercy supporting me to the end to reach this point.

I would like to thank my advisors, Prof. Mohamed Khairy and associate Prof. Tamer ElBatt and assistant Prof. Omar Nasr for giving me the opportunity to work in a fruitful research environment and for their continuous guidance and support, as well as for their successful discussions and encouragements. Also, I do like to thank my colleagues in Axxcelera Broadband Wireless company for their encouragements and support.

Most importantly, I do like to thank my parents for their continuous support, my husband and my family for helping me all through my work.

To my grandmothers

# **Contents**

List of Figures	iii
List of Tables	v
List of Abbreviations	vi
Abstract	1
Chapter 1. Introduction	2
1.1 Relevant Work	2
1.2 Thesis Contribution	6
1.3 Thesis Outline	7
Chapter 2. Long Term Evolution overview	8
2.1 LTE Introduction	8
2.2 Network Architecture	9
2.3 Radio Protocol Architecture	11
2.3.1 Resource Allocation and Reference Symbols	12
2.3.2 OFDMA Advantages and Disadvantages	13
2.4 LTE Channels	14
2.4.1 LTE Physical Channels	14
2.4.2 LTE Physical Signals	15
2.4.2.1 Physical signals in downlink	15
2.4.2.2 Physical signals in uplink	17
2.4.3 Downlink FDD radio frame	17
2.5 Bearers	18
2.6 Mobility	20
Chapter 3. Self-Organizing Networks overview	21
3.1 SON architecture alternatives	21

3.1.1 Centralized architecture	21
3.1.2 Distributed approach	22
3.1.3 Hybrid approach	23
3.2 SON functionalities	23
3.2.1 PCI planning	23
3.2.2 Mobility Load Balancing (MLB)	24
3.2.3 Inter-Cell Interference Coordination (ICIC)	26
3.2.4 Energy Saving (ES)	28
3.2.5 Cell Outage Detection and Compensation (COD and COC)	29
Chapter 4. Cell Outage Compensation	31
4.1 System Model	31
4.2 The proposed cell outage compensation algorithm	31
4.2.1 Frequency Reuse – one	34
4.2.2 Inter-cell Interference coordination.	34
4.2.3 Adjusting the handover cell-specific parameter	35
Chapter 5. Performance Analysis	37
5.1 Comparison study for LTE simulation tools	37
5.2 LTE-Sim overview	39
5.3 Parameters of the Simulation Scenario	39
5.4 Performance Evaluation	40
Chapter 6. Conclusion and Suggested Future work	49
Deferences	50

# **List of Figures**

Figure 1. Adjusting electrical tilt and azimuth model	5
Figure 2. LTE system architecture	0
Figure 3. Functional split between E-UTRAN and EPC	. 1
Figure 4. User plane between MUE and P-GW	2
Figure 5. Control plane between MUE and MME	2
Figure 6. An illustration of the OFDMA reference symbol structure 1	.3
Figure 7. Downlink physical channels reference to RS power	6
Figure 8. LTE DL radio frame for 1.4 MHz	8
Figure 9. The bearer architecture of LTE	9
Figure 10. Bearer's types in LTE	9
Figure 11. Centralized approach	22
Figure 12. Distribution approach	22
Figure 13. PCI collision and confusion	24
Figure 14. PCI planning	24
Figure 15. Handover procedure in LTE netwrok	25
Figure 16. Mobility Load balancing (MLB) procedures	26
Figure 17. Reuse 1 and other static FFR based schemes	28
Figure 18. Strategies for ES in wireless cellular networks	29
Figure 19. Gain estimation, <i>co2</i> emission units versus hour of day 2	29
Figure 20. The total radio loss services in the cell outage	30
Figure 21. System model	31
Figure 22. Cell outage compensation procedure Scenario	32
Figure 23. SFR pre-outage (a) and after compensation (b)	36
Figure 24. CDF comparison between FRone vs. SFR under the full traff	ïc
load	1
Figure 25. Over all throughput comparison between FRone vs. SFR under	er
the full traffic load	1
Figure 26. COC algorithm process in both networks using LB and RCE 4	<b>l</b> 3
Figure 27. COC algorithm process in FRone network for the network cells 4	ļ4

Figure 28. COC algorithm process in FRone network for the outage cell	44
Figure 29. COC algorithm process in ICIC network for the network cells	45
Figure 30. COC algorithm process in ICIC network for the outage cell	45
Figure 31. Aggregation network throughput after compensation (Mbps)	46
Figure 32. Cell outage throughput after compensation (Mbps)	46
Figure 33. Aggregation network throughput performance (Mbps)	47
Figure 34. Cell outage throughput performance (Mbps)	47
Figure 35. SINR histogram for the outage cell	48

## **List of Tables**

Table 1. Relevant work summary	3
Table 2. Comparison of the main characteristics of 3G and 4G systems	8
Table 3. Summary of the benefits of LTE system	8
Table 4. Functional decomposition of the EPS	9
Table 5. The cell-specific ratio pa/pb for cell-specific 1,2or 4 antenna por	rts16
Table 6. Overhead versus peak rate for 1.4 MHz	17
Table 7. Bearer's types and their associated parameters	20
Table 8. User modes	20
Table 9. The Proposed COC Algorithm Parameters' Definition	32
Table 10. Comparison between three different LTE simulation tools	37
Table 11. Numerical Parameters of Simulation Scenario	39

#### **List of Abbreviations**

3GPP 3rd Generation Partnership Project

AMBR APN Aggregated Maximum Bit Rate

ANR Automatic Neighbor Relation

ACP Automatic Cell Planning

ARQ Automatic Repeat Request

COD Cell Outage Detection

COC Cell Outage Compensation

CRE Cell Range Expansion

CQI Channel Quality Indicator

CCU Cell Center Users

CEU Cell Edge Users

CP Cyclic Prefix

CAPEX Capital Expenditure

CCO Coverage and Capacity Optimization

C-RS Cell Specific Reference Signal

CGI Cell Global Identifier

DL Downlink

DM-RS Demodulation Reference Symbols

eNodeB Evolved NodeB

E-UTRAN Evolved Universal Terrestrial Radio Access Network

EPC Evolved Packet Core

EPS Evolved Packet System

E-RAB E-UTRAN Radio Access Bearer

ECGI E-UTRAN Cell Global Identifier

eICIC Enhanced Inter-Cell Interference Coordination

ES Energy Saving

FFR Fractional Frequency Reuse

FRone Frequency Reuse-one

FDD Frequency Division Duplex

FFT Fast Fourier Transform

GBR Guaranteed Bit Rate

GERAN GSM/EDGE Radio Access Network

HFR Hard Frequency Reuse

HII High Interference Indicator

HSS Home Subscriber Server

HSDPA High Speed Downlink Packet Access

HSUPA High Speed Uplink Packet Access

ICI Inter-Carrier Interference

ISI Inter-Symbol Inference

ICIC Inter-Cell Interference Coordination

IP Internet Protocol

IoT Interference-over-Thermal

KPI Key Performance Indicator

LTE Long Term Evolution

MUE Mobile User Equipment

MCS Modulation and Coding Scheme

MLB Mobility Load Balancing

MBR Maximum Bit Rate

MME Mobility Management Entity

MIMO Multiple-Input Multiple-Output

MU-MIMO Multi-User MIMO

MAC Media Access Control

MRO Mobility Robustness/Handover optimization

NMS Network Management System

OPEX Operational Expenditure

OFDMA Orthogonal Frequency Division Multiple Access

OAM Operation Administration and Maintenance system

OI Overload Indicator

PCI Automatic Physical Cell ID

PCH Paging channel

PDN Packet Data Network

P-GW PDN Gateway

PDCCH Physical Downlink Common Channel

PMI Pre-coding Matrix Information

PAPR Peak-to- Average Power Ratio

PDSCH Physical Downlink Shared Channel

PCI Physical Cell Identifier

PSS Primary Synchronization Signal

QoS Quality Of Service

QCI QoS Class Identifier

RS Reference Signal

RLF Radio Link Failure

RNTP Relative Narrowband Transmit Power

RB Resource Block

RE Resource Element

RACH Random Access Channel

RI Rank Indicator

RAT Radio Access Technologies

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSSI Received Signal Strength Indicator

RRM Radio Resource Management

RRC Radio Resource Control

RAN Radio Access Network

SAE System Architecture Evolution

SC-FDMA Single Carrier Frequency Division Multiple Access

S-GW Serving Gateway

SON Self-Organizing-Networks

SFR Soft Frequency Reuse

SINR Signal to Interference and Noise Ratio

SRS Sounding Reference Symbols

SIB System Information Blocks

SISO Single-Input Single-Output

SDF Service Data Flows

SSS Secondary Synchronization Signal

TCP Transmission Control Protocol

TFT Traffic Flow Template

TP Troubleshooting process

TDD Time Division Duplex

TTI Transmission Time Interval

UTRAN Universal Terrestrial Radio Access Network

USIM Universal Subscriber Identity Module

UL Uplink

WCDMA Wideband Code Division Multiple Access

#### **Abstract**

Long Term Evolution (LTE) is the latest mobile network standard in the 3rd Generation Partnership Project (3GPP) evolution path, promising to considerably increase the performance of mobile networks. Network operators are investing in the network infrastructure to maximize the revenue .This goal can be achieved by optimizing the network performance and reducing the Operational Expenditure (OPEX).

LTE offers higher spectral efficiency, simpler network architecture and lower operational expenditure compared to 3G system. In addition, LTE attempts to increase revenue and minimize OPEX by using the Self-Organizing-Networks (SON) concept which relies on optimizing the mobile network and automating the network management. This thesis tackles one of the SON concept in the LTE that helps in automatic healing of network faults. SON functions are divided into three main functionalities of self-configuration; self-optimization such as Inter-Cell Interference Coordination (ICIC) and Mobility Load balancing (MLB) and self-healing such as Cell Outage Compensation (COC).

The COC is a self-healing functionality in the overall SON vision, which is defined by 3GPP. Operation Administration and Maintenance system (OAM) triggers the COC for compensating the outage cell, e.g. out-of-service cell. The COC aims to alleviate the degraded performance due to the sudden loss of service and provides an adequate level of service to the victim users in the outage area. The previous studies are focused on healing the coverage hole rather than the capacity. Also, they did not study the whole network performance in terms of coverage and capacity.

We propose an outage compensation algorithm based on reconfiguring the surrounding cells to recover the users in the coverage hole, and provide an adequate capacity for the victim users. We propose the base station total transmission power and the mobility parameters as control parameters to achieve our compensation objective. Our algorithm targets maximizing the capacity of the victim MUEs; reducing the degradation in the compensating cells and the aggregate network capacity and maintaining the coverage of network cells during the compensation process on the downlink for homogenous LTE networks under a full load scenario. The algorithm works in both frequency reuse one (FRone), and soft frequency reuse (SFR) networks. The SFR configuration has another degree of freedom that can be used to enhance the compensation performance.

The proposed algorithm is based on modifying the base stations' powers until reaching the maximum possible network capacity while maintaining proper network coverage by monitoring the interference over Physical Data Shared Channel (PDSCH) between cells. Moreover, we propose adjusting cell-specific handover parameters to provide more resources for victim users, which was not studied before as a COC parameter. We propose a reconfiguration of the sub-bands for SFR networks to help in the recovery process of the victim users. The COC algorithm was not studied before for ICIC network.

The research, which was carried out using system level simulations, consists of investigating the effects of a typical outage and the effectiveness of our compensation algorithm. Simulation results show the effectiveness of the algorithm to rescue the victim users with minimal impact on the network performance in the downlink direction of homogeneous LTE FRone and SFR systems under full load scenario. The proposed algorithm is simple and effective as well as it can be applied for other mobile wireless networks such as 3G networks.