

# AIN SHAMS UNIVERSITY FACULTY OF ENGINEERING

Electronics and Communication Engineering Department

## Design and Analysis of Advanced MIMO Techniques for the Next Generating Wireless Communication Systems

#### **A Thesis**

Submitted in Partial Fulfillment of the Requirements

For the Degree of Doctor of Philosophy in Electrical Engineering

(Electronics and Communication Engineering)

Submitted By

Eng. Eman Salah Abass Gad Khalil

Supervised By

Prof. Dr. Hadia Mohamed Saied El Hennawy
Dr. Joseph Victor Maher Halim

**Egypt 2018** 



# AIN SHAMS UNIVERSITY FACULTY OF ENGINEERING

Electronics and Communication Engineering Department

# Designing and Analysis of Advanced MIMO Techniques for the Next Generating Wireless Communication Systems

By

#### **Eman Salah Abass Gad Khalil**

Doctor of Philosophy in Electrical Engineering (Electronics and Communications Engineering) Faculty of Engineering, Ain Shams University, 2018

#### **Examiners' Committee**

#### Approved by:

Name and Affiliation	Signature
1- Prof. Dr. Hebat-Allah Mustafa Mourad Electronics and Communications Department, Faculty of Engineering, Cairo University.	(Examiner)
<b>2- Prof. Dr. Abdel Halim Abdel Naby Zekry</b> Electronics and Communications Department, Faculty of Engineering, Ain Shams University.	(Examiner)
<b>3- Prof. Dr. Hadia Mohamed Saied El Hennawy</b> Electronics and Communications Department, Faculty of Engineering, Ain Shams University.	(Supervisor)

Date: 26 November 2018

## **STATEMENT**

This dissertation is submitted as a partial fulfillment of the degree of Doctor of Philosophy in Electrical Engineering (Electronics and Communications Engineering) Faculty of Engineering, Ain Shams University.

The work included in this thesis was carried out by the author at the Electronics and Communications Department, Faculty of Engineering, Ain Shams University, Cairo, Egypt.

No part of this thesis was submitted for a degree or a qualification at any other university or institution.

**Student Name**: Eman Salah Abass Gad Khalil **Signature**:

Date: 26 November 2018

## RESEARCHER DATA

Name: Eman Salah Abass Gad Khalil

**Date of birth:** 11<sup>th</sup> of September 1983

**Place of birth:** Cairo, Egypt.

Last academic degree: Master of Science in electrical

Engineering

Field of specialization: Electronic and communication

University issued the degree Ain Shams University

**Date of issued degree:** January 2012

Current job: Lecturer Assistant in the

department of Communication and

Computer, Faculty of Engineering,

MTI university.

## **ABSTRACT**

## Design and Analysis of Advanced MIMO Techniques for the Next Generating Wireless Communication Systems

#### by

# EMAN SALAH ABASS GAD KHALIL DOCTOR OF PHILOSOPHY IN ELECTRICAL ENGINEERING THESIS AIN SHAMS UNIVERSITY

Demands on broadband data service are increasing dramatically each year. Following terrestrial trends, satellite communication systems have moved from the traditional TV broadcasting to provide interactive broadband services even to urban users. The cellular and land-line networks are mainly designed to deliver broadband services to metropolitan and large urban centers. However, the High Throughput Satellite (HTS) technology has the advantage of covering these demands over wide geographically regions, including areas without backbone connectivity (maritime, aeronautic, rural, etc..) in addition to high dense populated areas with an existing communication infrastructure, as an alternative competitive solution. However, to stay competitive with economical terrestrial solutions in urban areas, it is necessary to reduce the cost per transmitted bit by increasing the capacity of the satellite systems.

Geostationary HTS is an evolution of the conventional spacecraft and it is a satellite system that uses a large number of geographically spot beams distributed over a specified service area. Present HTS technology employs the frequency reuse technique to the spot beam approach in order to support high overall throughput. The current design can increase the satellite capacity to be in hundreds of gigabits per second (Gbps) compared to a few Gbps for Fixed Satellite Services (FSS) satellites.

This dissertation takes the challenge of achieving higher capacities - towards Terabits/s HTS - by developing a new methodology that based on applying an Orthogonal-CDMA technique on the multibeam HTS system. Consequently, the proposed design can efficiently utilize the overall satellite bandwidth for each spot beam while the present technology limits the spot beam bandwidth to a portion of the available satellite bandwidth, depending on the frequency reuse factor. Therefore, the proposed approach can achieve a superior satellite capacity due to the huge increase in the supported data rates per beam and then, it preserves a higher throughput gain for each user in any spot beam. Moreover, the offered design in the thesis mitigates the Co-channel Interference (CCI) which currently limit the performance of the existing technology. Therefore, the proposed idea can efficiently outperform the present frequency reuse technology, providing a higher system capacity and multiple times of the current throughput, which approaches Terabits/s.

The contribution of this thesis focuses on three main topics: 1) design of a new resource allocation methodology based on an orthogonal coding technique for the satellite multibeam configuration to efficiently enhance the capacity of next generation HTS systems, 2) provide a coding design realization proposal with a maximum number of codes and minimum number of bits, and 3) developing models for both capacity enhancement and performance investigation under realistic channel conditions.

The new resource allocation strategy in this dissertation is launched under a title called Coded Beam High Throughput Satellite (CB-HTS). It proposes orthogonal coding designs over a multi-spot-beam antenna, which can be realized by using a feed horn array per satellite reflector, acts as a massive MIMO configuration. The designed orthogonal coding scheme has the capability to isolate spot beams and preserves polarization resource for further capacity increase as well. For a prototype system that covers Europe with broadband service, as numerical results demonstrate, the proposed methodology introduces a significant performance gain and throughput enhancement over the existing state-of-art schemes in terms of bit error rate performance, user data rates, traffic channels, system throughput and capacity, by coding all user data that belongs to a specific beam with an orthogonal sequence and exploiting the polarization duality transmission. The proposed CB-HTS has compatibility with the existing system using traditional user access inside beams using Digital Video Broadcasting standards (DVB-S2/DVB-S2X). The system performance is investigated under different parameters of both standards. In addition, a performance comparison is presented. Finally, some open topics are highlighted as the possible future research directions.

**<u>Key Words:</u>** High-Throughput Satellites; Multibeam satellites; Coded-Beams HTS; Walsh coding; CDMA Satellites.

#### **Thesis supervisors:**

- Prof. Dr. Hadia Mohammed Saed El-Hennawy Ain Shams University, Cairo, EGYPT.
- Dr. Joseph Victor Maher Halim

System Operations and Maintenance Manager of the Satellite Control Stations,

The Egyptian Satellite Company NILESAT. Cairo, EGYPT.

## ACKNOWLEDGEMNT

All praise, glory and thanks go to Almighty Allah who gave me the strength and patience to carry out this work.

First and foremost gratitude is to the esteemed Ain Shams University and its faculty members for the high quality education they supplied me with through my postgraduate studies.

My deep appreciation and gratitude go to my thesis advisors Professor Hadia M. El-Hennawy and Dr. Joseph Victor Halim for their constant guidance, support and valuable time they supplied me with through my work in this thesis.

The best and worst moments of my PHD dissertation journey have been shared with many people. Many thanks and appreciations go to my dear parents, brother, friends and colleagues in Modern University for technology and information for supporting and encouraging me throughout my work in this thesis. I would also like to give special thanks to my dear husband for all his help and support along the way.

To my beloved Yara, Lana, my future baby boy who's on the way, my parents and husband for their love, help and support.

# TABLE OF CONTENTS

List of	of Figur	res	Xi
List o	of Table	es	xiv
List o	of Abbr	·eviations	XV
List o	of Syml	ools	xviii
CHAPTE	R 1 Int	roduction	
1.1	Intro	duction	1
1.2	Previ	ous Work	1
1.3	Prob.	lem Statement	3
1.4	Acco	emplishment and Contribution	3
1.5		is Organization	
СНАРТЕ	R 2 Hi	gh Throughput Satellite Technology	
2.1		oduction	5
2.2	Broa	dband Satellite Systems	5
		2.2.1 Multibeam Satellite Systems	
		2.2.2 Interference Management Techniques in Broadband Systems	
		2.2.2.1 Solutions in the Forward Link	9
		2.2.2.2 Solutions in the Return Link	11
2.3 S		acterization of Terabit/s Satellite, the Next Generation	
•	2.3.1	System Definition	
		2.3.1.1 Regulatory matters	
		2.3.1.2 Frequency plan	
		2.3.1.3 User beams and gateways	16
		2.3.1.4 Air Interface performances	16
		2.3.1.5 Payload architecture	16
		2.3.1.6 Platform and launchers envelope	17
		2.3.1.7 System performance analysis: capacity and availability	
	2.3.2	Main Challenges	
		2.3.2.1 Challenge 1 - Bandwidth scarcity	.18

		2.3.2.2 Challenge 2 – Large gateway network 18
		2.3.2.3 Challenge 3 – Large payload mass footprint;
		2.3.2.4 Challenge 4 - Adequacy of the provided capacity to the user needs
	2.4 sys	Code Division Multiple Accessing in satellite communication
	,	2.4.1Popular CDMA Spreading Codes21
		2.4.1.1 Orthogonal Walsh Codes21
		2.4.1.2 Gold Codes22
		2.4.1.3 Kasami Codes 22
		2.4.2CDMA Capacity
	2.5	Higher Dimensional Hadamard Matrices24
		2.5.1 Higher Dimensional orthogonal design25
		2.5.2 Example of Higher Dimensional Hadamard matices
	2.6	Summary28
CHA	APTER	R 3 The Proposed System of Coded-Beams High Throughput Satellites
	3.1	Introduction29
	3.2	Motivation to Coded-Beams High Throughput Satellite29
	3.3	Coded-Beams High Throughput Satellite System31
	3.4	Analysis of CB-HTS resource allocation system Throughput capabilites
	3.5	CB-HTS Numerical Results and Analysis 38
	3.5	Summary
CHA	APTER	R 4 Capacity Enhancement For CB-HTS System
	4.1	Introduction 42
	4.2	Problem Outline 42
		General description of the technical characteristics of the CB-HTS with VB-S2 and DVB-S2X extensions standard44
	4.4	Standards and parameters used in High Throughput Satellite45
	4.5 nu	Optimal parameter values for Coded Beam Satellite data rates and mber of channels based on DVB-S2/S2X parameters48
		4.5.1 Results Investigation for Proposed CB-HTS satellite with Standard DVB-S2
		4.5.2 Results Investigation for Proposed CB-HTS satellite with Standard DVB-

	4.5.3 Demonstration of the Numerical results of the Proposed CB-HTS satellite5	7
4.6 c	Comparison of proposed Coded Beam Satellite data rates and number of thannels based on DVB-S2/S2X parameters with state-of-the art	
	4.6.1 Investigation of supported number of channels by the Proposed CB-HTS satellite	53
	4.6.2 Number of supported channels comparison for Proposed CB-HTS satellite wi Standard DVB-S2 and [84]6	
4.7	Summary6	56
	ER 5 Analysis And Performance Assessment of CB-HTS System A Pa Ferahertz Communications	tł
5.1	Introduction	.6′
5.2 5.3	·	
	5.3.1 Results Investigation for Proposed CB-HTS satellite using Standard DVB-S2 users multiple access technique	
	5.3.1.1 Traffic per beam offered by proposed CB-HTS satellite system using DVB-S2 standard as users multiple access technique	
	5.3.1.2 Performance of proposed CB-HTS satellite system using DVB-S2 standard as users multiple access technique	74
	5.3.2 Results Investigation for Proposed CB-HTS satellite using Standard DVB-S2 as users multiple access technique	
	5.3.2.1 Traffic per beam offered by proposed CB-HTS satellite system usin DVB-S2X standard as users multiple access technique	
	5.3.2.2 Performance of proposed CB-HTS satellite system using DVB-S2 standard as users multiple access technique	
	5.3.3 Signal to interference plus noise Investigation of the Proposed CB-HTS satellite	30
5.4	Summary	30
CHAPTE	ER 6 Coclusion and Future Work	
6.1	Conclusions	31
6.2	Suggestion for Future Work	33
APPEND	<b>PIX</b> 85	í
EXTRAC	CTED PAPER 95	5
REFERE	ENCES 90	6

# LIST OF FIGURES

	<b>Page</b>
<b>Figure 2.1:</b> Architecture of a traditional broadband satellite system.	7
<b>Figure2.2:</b> The schematic of the multibeam satellite antennas that generate multiple spot beams	8
<b>Figure 2.3:</b> Architecture of a multibeam satellite system with 4-color reuse scheme	10
<b>Figure 2.4:</b> Fractional Frequency Reuse Scheme[18].	12
Figure 2.5: Frequency plan for typical [24].	17
<b>Figure 2.6:</b> A functional display of the CDMA process [31].	22
<b>Figure 2.7:</b> 2 <sup>3</sup> Hadamard matrix of propriety (2.2.2) and a three-dimensional matrix of propriety (2.2.3) (a) proper. (b)Improper.[46].	28
<b>Figure 2.8:</b> Paley cube of dimension 3, ■minus one, □ plus one [46].	29
Figure 3.1: Illustration of a cluster with coded beams.	31
Figure 3.2:Functional block diagram of CB-HTS system based on	34
DVB-S2 System.	
Figure 3.3: User beam layout.	38
<b>Figure 4.1:</b> Generic block diagram of the forward link of Coded-Beam broadband HTS satellite system based on DVB-S2 and DVB-S2X extensions	45
<b>Figure 4.2:</b> Frequency Plan – coded-beams HTS system with the two Scenarios	47
<b>Figure 4.3:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2 , QPSK modulation and Normal FEC frame size for different filter roll-off factors and FEC rates.	49
<b>Figure 4.4:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2, QPSK modulation and short FEC frame size for different filter roll-off factors and FEC rates.	49
<b>Figure 4.5:</b> Data rate [MBps] of 1050 MHz coded-beam Satellite with Standard DVB-S2, QPSK modulation for Normal vs. Short FEC frame size with different filter roll-off factor and FEC rate.	50

<b>Figure 4.6:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2 and normal FEC frame size for different filter roll-off factors, MODCODEs	51
<b>Figure 4.7:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2 and short FEC frame size for different filter roll-off factors, MODCODEs and FEC frame size	51
<b>Figure 4.8:</b> Spectral efficiency [Bit/s/Hz] of 1050 MHz CB-HTS satellite with Standard DVB-S2 and QPSK modulation for different FEC frame size.	52
<b>Figure 4.9:</b> Spectral efficiency [Bit/s/Hz] of 1050 MHz CB-HTS satellite with Standard DVB-S2 and modulation of 8PSK,16-APSK and 32-APSK for different FEC frame size	52
<b>Figure 4.10:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2X, QPSK and 8APSK modulation and Normal FEC frame size for different filter roll-off factors, and FEC rate.	53
<b>Figure 4.11:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2X ,16APSK modulation and Normal FEC frame size for different filter roll-off factors, and FEC rate.	54
<b>Figure 4.12:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2X with higher order modulation and Normal FEC frame size for different filter roll-off factors and FEC rate.	55
<b>Figure 4.13:</b> Data rate [MBps] of 1050 MHz CB-HTS satellite with Standard DVB-S2X and Short FEC frame size for different filter roll-off factors and MODCODEs	55
<b>Figure 4.14:</b> Spectral efficiency [Bit/s/Hz] of 1050 MHz CB-HTS satellite with Standard DVB-S2X ,Normal FEC frame size ,for QPSK,8-APSK and 16-APSK modulation of different FEC rates.	56
<b>Figure 4.15:</b> Spectral efficiency [Bit/s/Hz] of 1050 MHz CB-HTS satellite with Standard DVB-S2X ,Normal FEC frame size ,for APSK modulation of order 4,5,6,7,8 with different FEC rates.	56
<b>Figure 4.16:</b> Spectral efficiency [Bit/s/Hz] of 1050 MHz CB-HTS satellite with Standard DVB-S2X ,Short FEC frame size for different filter roll-off factors , MODCODEs.	57
<b>Figure 4.17:</b> Number of supported SDTV using MPEG-2 encoding for proposed scheme, scenario I with values obtained in [84] with the DVB-S2 Standard.	64
<b>Figure 4.18:</b> Number of supported SDTV using MPEG-4 encoding for proposed scheme, scenario I with values obtained in [84] with	65

the	DI	7D	$\alpha$	Cto	- 4	1
une	יעו	V D	> ∠	Ota	HUa	H CL.

the D v D 52 Standard.	
<b>Figure 4.19:</b> Number of supported HDTV using MPEG-2 encoding for proposed scheme, scenario I with values obtained in [84] with the DVB-S2 Standard.	65
<b>Figure 4.20:</b> Number of supported HDTV USING MPEG-4 encoding for proposed scheme, scenario I with values obtained in [84] with the DVB-S2 Standard.	66
<b>Figure 4.21:</b> Number of supported UHDDTV using HEVC encoding for proposed scheme, scenario I with values obtained in [84] with the DVB-S2 Standard	66
<b>Figure 5.1:</b> CB-HTS strategy, outer orthogonal coding resource management and inner multiple accessing technique.	68
<b>Figure 5.2:</b> Uplink spectrum organization for CB-HTS strategy, B sat is the total satellite bandwidth available in each beam	69
<b>Figure 5.3:</b> Uplink spectrum organization for dual-polarized CB-HTS strategy, V and H are the vertical and horizontal polarization respectively.	71
<b>Figure 5.4:</b> Offered Traffic channels per sub-band (Lc) by CB-HTS satellite with Standard DVB-S2 versus $E_b/N_o$ , for different modulation techniques and Normal FEC frame size.	73
<b>Figure 5.5:</b> Offered Traffic channels per sub-band (Lc) by CB-HTS satellite with Standard DVB-S2 versus Eb/No, for different modulation techniques and Short FEC frame size.	73
<b>Figure 5.6:</b> BER versus $E_b/N_o$ of 1050 MHz CB-HTS satellite with Standard DVB-S2 and QPSK modulation for different filter roll-off factor of 0.35 and comparing Normal and Short FEC frame size	75
<b>Figure 5.7:</b> BER versus E <sub>b</sub> /N <sub>o</sub> of 1050 MHz CB-HTS satellite with Standard DVB-S2 ,Normal Frame size and modulation of QPSK, 8PSK, 16-APSK and 32-APSK using roll-off rate of 0.2.	75
<b>Figure 5.8:</b> Offered Traffic channels per sub-band (Lc) by CB-HTS satellite with Standard DVBS2X versus $E_b/N_o$ , for different MODCODEs and Normal FEC frame size.	77
<b>Figure 5.9:</b> Offered Traffic channels per sub-band (Lc) by CB-HTS satellite with Standard DVB-S2X versus $E_b/N_o$ and roll-off rate of 0.2 , for different MODCODEs and Short FEC frame size.	78

<b>Figure 5.10:</b> BER versus $E_b/N_o$ of 1050 MHz CB-H1S satellite with	79
Standard DVB-S2X and QPSK modulation for different filter roll-	
off factor of 0.05 and comparing Normal and Short FEC frame	
<b>Figure 5.11.</b> BER versus E <sub>b</sub> /N <sub>o</sub> of 1050 MHz CB-HTS satellite with	79
Standard DVB-S2X ,short frame size and modulation of BPSK,	
OPSK, 8PSK, and 16-APSK using roll-off rate of 0.05.	