

Effect of Post-Extubation Noninvasive Ventilation on Weaning Outcomes in Patients with Respiratory Failure Due to Chronic Obstructive Pulmonary Disease

Thesis

Submitted for fulfillment of the master degree in general intensive care medicine

By:

Karim Galal El-Sayed El-Oraby

M.B.B.Ch

(Faculty of Medicine - Menoufia University, 2012)

Supervised by:

Prof. Dr/ Waleed Mohamed Abd El-Mageed

Professor of Anesthesiology, Intensive Care and Pain Management

Faculty of Medicine - Ain Shams University

Dr/ Neveen Girgis Fahmy

Assistant Professor of Anesthesiology, Intensive Care and Pain Management

Faculty of Medicine - Ain Shams University

Faculty of Medicine

Ain Shams university

2019



Acknowledgement

Praise and thanks to **ALLAH** for all countless gifts, I have been offered, one of these gifts is accomplishing this research work.

I am very grateful to **Prof. Dr. Waleed Mohamed Abd El-Mageed**, Prof of anesthesiology, intensive care and pain management, Faculty of medicine, Ain Shams university, for his sincere co-operation, unlimited help and continuous guidance during this work.

I am so grateful to **Dr. Neveen Girgis Fahmy**, Assistant Prof. of anesthesiology, intensive care and pain management, Faculty of medicine, Ain Shams University, for her valuable help and guidance in the course of this research, her sincere efforts will never be forgotten.

Really and from my heart, I am deeply grateful to **Dr. Dina Salah El-Din Mahmoud**, Assistant Prof. of anesthesiology, intensive care and pain management, Faculty of medicine, Ain Shams University, for her valuable efforts and advices in all steps of this work.

Finally, my best regards to all **my family** for their endless encouragement, help and support.

Karim El-Oraby

List of Contents

	Page
Acknowledgement	--
List of Abbreviations	i
List of Tables	iii
List of Figures	iv
Introduction	1
Aim of the Work.....	3
Review of Literature.....	4
<i>Chapter one: Respiratory failure in COPD.....</i>	<i>4</i>
<i>Chapter two: Weaning and Extuhation.....</i>	<i>23</i>
<i>Chapter three: Non Invasive Ventilation.....</i>	<i>46</i>
Patients and Methods.....	69
Results	77
Discussion.....	96
Conclusions	104
Summary.....	105
References	109
Arabic Summary.....	----

List of abbreviations

ABG	: Arterial blood gas
ACS	: Airway Care Score
AECOPD	: Acute exacerbation of chronic obstructive pulmonary disease
AMP	: Adenosine monophosphate
ANOVA	: Analysis of variance
APACHE II	: Acute physiology and chronic health evaluation
ARF	: Acute respiratory failure
ATC	: Automatic tube compensation
ATS)	: The American Thoracic Society
BIPAP	: Bi-level Positive Airway Pressure
Ca	: Calcium
CHF	: Congestive heart failure
CHRF	: Chronic hypercapnic respiratory failure
CKD	: Chronic kidney disease
CLD	: Chronic liver disease
CO ₂	: Carbon dioxide
COLD	: Chronic obstructive lung disease
COPD	: Chronic obstructive lung disease
CPAP	: Continuous positive airway pressure
Cr	: Creatinine
CS	: Corticosteroid
DKA	: Diabetic ketoacidosis

DM	: Diabetes
e.g	: For example
ECG	: Electrocardiogram
EPAP	: Expiratory peak airway pressure
ETT	: Endotracheal tube
F	: Frequency
FEV1	: Forced expiratory volume
FEV ₁	: Forced expiratory volume
FiO ₂	: Fraction of inspired oxygen
FM	: Face mask
GCS	: Glasgow Coma Scale
GOLD	: The Global Initiative for Chronic Obstructive Lung Disease
Hb	: Hemoglobin
HCO ₃	: Bicarbonate
HF	: Heart failure
HR	: Heart rate
HTN	: Hypertension
ICU	: Intensive care unit
IHD	: Ischemic heart disease
IMV	: Intermittent mandatory ventilation
IPAP	: Inspiratory peak airway pressure
K	: Potassium
Kpa	: Kilopascal
L	: Litre
LABA	: Long-acting beta2-agonists

Lac	: Lactate
LAMAs	: Long-acting antimuscarinic antagonists
MAP	: Mean Arterial Blood Pressure
MEP	: Maximal expiratory pressure
Mg	: Magnesium
MICU	: Medical ICU
MIP	: Maximal inspiratory pressure
mmHg	: Millimeter of mercury
MV	: Mechanical ventilation
N	: Number
Na	: Sodium
NIV	: Noninvasive ventilation
NPV	: Negative-pressure ventilators
NS	: Non-significant
NSAIDS	: Non-steroidal anti-inflammatory drugs
O ₂	: Oxygen
P	: p-value
PaCO ₂	: Arterial carbon dioxide tension
PaO ₂	: Arterial oxygen tension
PAP	: Peak airway pressure
PEEP	: Positive end expiratory pressure
PEEPi	: Intrinsic positive end-expiratory airway pressure
Po ₄	: Phosphorus
PP	: Plateau pressure
PSV	: Pressure support ventilation

RCTs	: Randomized controlled trials
RR	: Respiratory rate
SABA	: Short-acting beta agonist
SAMAs	: Short-acting antimuscarinics
SBI	: Shallow breath index
SBP	: Systolic blood pressure
SBT	: Spontaneous breathing trial
SD	: Standard deviation
SIMV	: Synchronized intermittent mandatory ventilation
SPO2	: Pulse oximetry
SPSS	: Statistical Program for Social Science
TTT	: Treatment
VT	: Tidal volume
WOB	: Work of breathing

List of Figures

Fig.	Title	Page
1	Showing spirometric diagnosis of COPD.	13
2	Diagram of an endotracheal tube that has been inserted into the trachea	24
3	Equipement for extubation.	29
4	Non-invasive ventilation face mask	47
5	Interfaces for noninvasive ventilation	53
6	Face mask non-invasive ventilation	53
7	Parts of helmet	56
8	Complications of NIV mask	61
9	Nasal bridge ulcer caused by a mask	61
10	Left: Hospital-admission chest radiograph is unremarkable. Right: Intensive-care-unit admission radiograph shows bilateral infiltrates, most dense in the right lower lobe	63
11	Comparison between the studied groups regarding the demographic data	78
12	Arterial PH among study groups	82
13	PCO ₂ among study group	83
14	Arterial PO ₂ (mmHg) among study groups	85
15	Serum HCO ₃ (mmol/L) among study groups	86
16	Mean blood pressure	88
17	Heart rate graph in both study groups	89
18	Respiratory rate among study groups	91
19	Reintubation & mortality in study groups	93
20	Probability of avoiding reintubation after 168 study hours, by using the Kaplan-Meier curve	94
21	Estimated hospital survival, by using the Kaplan-Meier curve	95

List of Tables

Fig.	Title	Page
1	Common problems in NIV	65
2	Comparison between the studied groups regarding the demographic data	77
3	Comparison between the studied groups regarding the mechanical ventilation data	79
4	Comparison between the studied groups regarding pre-extubation laboratory data	80
5	Comparison between the studied groups regarding pre-extubation ventilatory mechanics	81
6	Comparison between the studied groups regarding the PH	81
7	Comparison between the studied groups regarding the pCO ₂ (mmHg)	83
8	Comparison between the studied groups regarding the pO ₂ (mmHg)	84
9	Comparison between the studied groups regarding the HCO ₃ (mmol/L)	86
10	Comparison between the studied groups regarding the MAP (mmHg)	87
11	Comparison between the studied groups regarding the heart rate (beat/min)	89
12	Comparison between the studied groups regarding the respiratory rate (breath/min)	90
13	Comparison between the studied groups regarding the outcome	63
14	Comparison between the studied groups regarding the probability to remain without re-intubation using Kaplan Meier curve	64
15	Comparison between the studied groups regarding estimated hospital survival	95

Introduction

Noninvasive ventilation (NIV) has been recently developed for the management of weaning/extubation from invasive mechanical ventilation (MV) and post-extubation acute respiratory failure (ARF) (*Nava et al., 2009*), the main goal being to shorten intubation time and to prevent or avoid reintubation and subsequent complications. The weaning/extubation period represents an important clinical issue for clinicians and patients, and prediction of its outcome may be difficult in most weak patients.

Difficult weaning requiring a progressive withdrawal from MV may occur, in fact, in 25% of intensive care unit (ICU) patients (*Brochard et al., 2014*) and in 40 to 60% of patients with chronic obstructive pulmonary disease (COPD) (*Nava S et al., 2009*). The weaning time may also account for up to 40% of the total invasive MV duration. Moreover, reintubation may be necessary within 48 to 72 hours in 5 to 25% of planned extubation, even if a spontaneous breathing trial (SBT) has been successful (*Esteban et al., 2005*). Reintubation represents an independent risk factor for nosocomial pneumonia, increasing ICU and hospital stay as well as mortality. So, the ICU clinician has to find the optimal compromise between the risks of undesired prolonged intubation and those of too early weaning and extubation process (*Boles et al., 2007*). Therefore, any strategy with the aim of reducing morbidity and mortality of prolonged invasive MV or reintubation appears relevant and should be developed to improve patient prognosis.

Consequently, NIV has been evaluated as an early weaning and extubation technique in difficult-to-wean patients (*Prasad et al., 2007*). Despite encouraging results regarding the incidence of reintubation, complications, and patient outcome, the role of NIV in this indication remains debated.

A recent meta-analysis found that post-extubation noninvasive weaning strategy could be of potential benefit as compared with conventional face mask, particularly in patients with COPD (*Burns et al., 2009*). However, these authors acknowledged that larger controlled trials were still needed. In addition, despite the negative results of NIV to treat post-extubation ARF (i.e., rescue post-extubation NIV) in medical patients (*Keenan et al., 2012*), the interest of this approach probably requires further evaluation in more selected medical populations.

We conducted a prospective randomized multicenter study to investigate the effectiveness of NIV as a post weaning/extubation technique in patients with chronic hypercapnic respiratory failure (CHRF) and also evaluated the role of rescue post-extubation NIV when a post-extubation ARF occurred in these patients.

Aim of the work

The primary objective of this study is to compare the efficacy of noninvasive ventilation (NIV) to conventional strategy with face mask (FM) in preventing reintubation, if NIV is used immediately after elective extubation, in patients with respiratory failure due to chronic obstructive lung disease (COPD) requiring mechanical ventilation for more than 72 hours. The secondary objectives are to evaluate the differences between the study groups concerning intensive care unit (ICU) length of stay and hospital mortality.

Respiratory failure in COPD

Chronic Obstructive Pulmonary Disease (COPD) represents an important public health problem and is a major cause of chronic morbidity and mortality all over the world. COPD is the fourth leading cause of death in the world and it is expected to be the 3rd leading cause of death by 2020. More than 3 million people died of COPD in 2012 accounting for 6% of all deaths globally (*Lozano et al., 2012*).

Prognosis significantly worsens in case of additional respiratory failure occurring either chronically or temporarily during an acute exacerbation. Survival is particularly reduced when ventilatory support becomes necessary (*Ai-Ping et al., 2005*). These patients deserve special consideration not only because of poor outcome and survival rates but also in view of several therapeutical options.

Definitions and impacts:

The term **chronic obstructive pulmonary disease (COPD)**, or sometimes **chronic obstructive lung disease (COLD)**, refers to a disease state characterized by the presence of incompletely reversible airflow obstruction. Current guidelines by the American Thoracic Society (ATS) and the Global Initiative for Chronic Obstructive Lung Disease (GOLD) guidelines recommend the use of the term COPD to encompass both chronic bronchitis and

emphysema. The ATS guidelines statement regarding COPD defines this entity as follows:

(Chronic obstructive pulmonary disease (COPD) is a preventable and treatable disease state characterized by airflow limitation that is not fully reversible. The airflow limitation is usually progressive and is associated with an abnormal inflammatory response of the lungs to noxious particles or gases, primarily caused by cigarette smoking. Although COPD affects the lungs, it also produces significant systemic consequences).

Similarly, the GOLD guidelines define COPD as follows: (A disease state characterized by persistent airflow limitation that is usually progressive, and is associated with an enhanced inflammatory response in the airways and the lung to noxious particles or gases. Exacerbations and comorbidities contribute to the overall severity in individual patients).

An acute exacerbation of chronic obstructive pulmonary disease (AECOPD) is a clinical diagnosis made when a patient with COPD experiences a sustained (e.g., 24–48 h) increase in cough, sputum production, and/or dyspnea. AECOPD has clinical consequences ranging from a self-limited illness to progressive respiratory failure (*Celli et al., 2007*).

The average patient with COPD experiences two episodes of AECOPD per year, and 10% of these episodes require hospitalization. The average duration of an episode is 7 days, although it may take several months for the

patient to return to baseline functional status (*Sullivan et al., 2000*).

Respiratory failure is usually defined by an arterial oxygen tension (PaO₂) of less than 60 mmHg (8.0 kpa) (**hypoxemic or type I respiratory failure**) and/or an arterial carbon dioxide tension (PaCO₂) greater than 45 mmHg (6.0 kpa) (**hypercapnic or type II respiratory failure**) (*Roussos et al., 2003*).

Etiology and Confounding Factors:

Bacterial infections are implicated in the majority of AECOPD episodes. This is because the patient with COPD has airways that are prone to infections, with impaired local defenses and frequent bacterial colonization. Sputum and bronchoscopy data have shown that *Moraxella catarrhalis*, *Haemophilus influenza*, and *Streptococcus pneumonia* are the most common organisms associated with AECOPD episodes. Other bacteria (e.g., *Pseudomonas* and *Staphylococcus*) have also been implicated. Many of these bacteria may be chronic airway colonizers that progress to infection after a simple viral upper respiratory infection or an environmental stress. On the other hand, a significant number of AECOPD infections may come from bacterial strains that are new to the patient (*Papi et al., 2006*).

Infectious AECOPD can be caused by other agents. *Rhinovirus* and *respiratory syncytial virus* have been implicated as causes for AECOPD in several studies. During the influenza season, the prevalent strain of influenza virus may also be an important viral cause,