



شبكة المعلومات الجامعية
التوثيق الإلكتروني والميكروفيلم

بسم الله الرحمن الرحيم



MONA MAGHRABY



شبكة المعلومات الجامعية
التوثيق الإلكتروني والميكروفيلم



شبكة المعلومات الجامعية التوثيق الإلكتروني والميكروفيلم



MONA MAGHRABY



شبكة المعلومات الجامعية
التوثيق الإلكتروني والميكروفيلم

جامعة عين شمس التوثيق الإلكتروني والميكروفيلم

قسم

نقسم بالله العظيم أن المادة التي تم توثيقها وتسجيلها
علي هذه الأقراص المدمجة قد أعدت دون أية تغييرات



يجب أن

تحفظ هذه الأقراص المدمجة بعيدا عن الغبار



MONA MAGHRABY



Cairo University

A PARADIGM SHIFT: GENERATION OF OPEN INNOVATION FRAMEWORK FOR BUILDING INDUSTRY

By

Tarek Yehia Kattaria

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the
Requirements for the Degree of
DOCTOR OF PHILOSOPHY
In
ARCHITECTURAL ENGINEERING

FACULTY OF ENGINEERING, CAIRO UNIVERSITY
GIZA, EGYPT
2020

A PARADIGM SHIFT: GENERATION OF OPEN INNOVATION FRAMEWORK FOR BUILDING INDUSTRY

By

Tarek Yehia Kattaria

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the Requirements for the Degree of
DOCTOR OF PHILOSOPHY
In
ARCHITECTURAL ENGINEERING

Under the Supervision of

Prof. Dr. Ayman H. Mahmoud

Prof. Dr. Ayman F. Wanas

.....

.....

Professor of Landscape design
Department of Architecture
Faculty of Engineering, Cairo
University

Professor of Architecture
Department of Architecture
Faculty of Engineering, Arab
Academy for Science Technology

FACULTY OF ENGINEERING, CAIRO UNIVERSITY
GIZA, EGYPT
2020

A PARADIGM SHIFT: GENERATION OF OPEN INNOVATION FRAMEWORK FOR BUILDING INDUSTRY

By

Tarek Yehia Kattaria

A Thesis Submitted to the
Faculty of Engineering at Cairo University
in Partial Fulfillment of the Requirements for the Degree of
DOCTOR OF PHILOSOPHY
In
ARCHITECTURAL ENGINEERING

Approved by the
Examining Committee

Prof. Ayman H. Mahmoud

(Thesis main advisor)

Professor of Landscape design Department of Architecture Faculty of Engineering, Cairo University
.....

Prof. Ayman F. Wanas

(advisor)

Professor of Architecture Department of Architecture Faculty of Engineering, Arab Academy for
Science Technology and Maritime Transport
.....

Prof. Sherine M. Wahba

(Internal Examiner)

Professor of Architecture Department of Architecture, Vice Dean for Education and Student Affairs,
Faculty of Engineering, Cairo University
.....

Prof. Sherif M. El-Fiki

(External Examiner)

Head of Architecture Engineering & Environmental Design department, Arab Academy for Science
and technology
.....

FACULTY OF ENGINEERING, CAIRO UNIVERSITY
GIZA, EGYPT
2020

Engineer's Name: Tarek Yehia Mohamed Kattaria
Date of Birth: 06/05/1981
Nationality: Egyptian
E-mail: tkattaia@gmail.com
Phone: 0100 145 90 46
Address: 27 VGK, Palm hills Compound, New Cairo
Registration Date: 01/10/2012
Awarding Date: / /2020
Degree: Master of Science
Department: **ARCHITECTURAL ENGINEERING**



Supervisors:

Prof. Ayman H. Mahmoud
Prof. Ayman F. Wanas

Examiners:

Prof. Sherif M. El-Fiki (External Examiner)
Head of Architecture Engineering & Environmental Design department,
Arab Academy for Science and technology
Prof. Sherine M. Wahba (Internal Examiner)
Professor of Architecture Department of Architecture, Vice Dean for
Education and Student Affairs, Faculty of Engineering, Cairo University
Prof. Ayman H. Mahmoud (Thesis main advisor)
Prof. Ayman F. Wanas (advisor)

Title of Thesis:

A Paradigm Shift: Generation of Open Innovation Framework for Building Industry

Key Words:

Building Industry - Collaboration - Open Innovation - Paradigm shift - Innovation Framework.

Summary:

The building industry has been recognized for its lack of innovation. Currently, a newly innovative paradigm introduced to enhance innovation, is mainly working on collaboration named by "Open Innovation". It is declared that building industry field is not pioneer in open innovation although literatures and critical reviews on the state of art innovative framework in building industry concludes that collaboration is the main factor that improves innovation performance. Accordingly, that thesis aims to draw an open innovation collaborative framework rather than the state of art, therefor the thesis illustrates the sufficient building industry collaboration projects and activities globally and contextually introducing their factors, inputs and driving forces toward innovation. After additionally, a contextual questionnaire is then constructed in order to test the proposed open innovation framework towards the Egyptian professional innovation context, the outcomes worked as guidelines to draw the Egyptian open innovation building industry framework. Findings highlight that the Egyptian market has a high demand for collaboration in general but not with public and governments. There is a high demand for multinational collaborations. There are several barriers such as financial issue, social skills. There is need for R&D otherwise sharing knowledge with supply chain and applying BIM is a major demand.

Disclaimer

I hereby declare that this thesis is my own original work and that no part of it has been submitted for a degree qualification at any other university or institute.

I further declare that I have appropriately acknowledged all sources used and have cited them in the references section.

Name: Tarek Yehia Kattaria

Date:

Signature:

Acknowledgement

After thanking GOD almighty for accomplishing this work, I am indebted to many people who have directly and indirectly influenced and inspired me through the different stages of this research. Without their guidance, enthusiasm and continuous support, this work would not have been successfully achieved.

I would like to acknowledge my particular gratitude to Prof. Dr. Ayman Mahmoud and Prof. Dr. Ayman Wanas under their supervision this thesis was accomplished. Through their support and significant directions, they have generously made a contribution towards the completion of the thesis. I would like to thank them for the time spent in completing the research through their constructive discussions.

I would like to express my deepest gratitude and appreciation to Prof. Dr. Sherif El-Fiki for his great efforts to give me the chance and the best environment to finalize that thesis.

I would like to convey my special thanks to my parents. I always owe them everything.

Finally, I should thank my beloved partner and wife for what she did and always do for me to fulfill and to promote all my intentions and dreams.

Table of Contents

Acknowledgements	i
Abstract	ii
Table of contents	iii
List of Tables	iv
List of Figures	v
Chapter 1 : Introduction	1
1.1 Inception	1
1.2 Motivation	2
1.3 Research Problem	3
1.4 Research Hypothesis	3
1.5 Research Methodology	3
1.6 Aim of Research	4
1.7 Research Objectives	4
1.8 Research Questions	4
1.9 Thesis Structure	5
Chapter Two: Innovation Role and Challenges in Building Industry	7
2.1 Introduction	7
2.2 Innovation as Novel Phenomena	8
2.3 Innovation and the Building Industry	12
2.4 Challenges in the Building Industry	13
2.4.1 Project Delivery Challenge	13
2.4.2 Sustainability Challenge	14
2.5 Summary	14
Chapter Three: Building Industry Innovation State-of-art	15
3.1 Introduction	15
3.2 Innovation Framework in the Building Industry	16
3.2.1 Factors	16
3.2.2 Drivers	16
3.2.3 Inputs	17
3.2.4 Outputs	17
3.3 Categorization of Innovation Outputs	18
3.3.1 Innovation in Materials	19
3.3.2 Innovation in Machinery/Production Technology	20
3.3.3 Innovation in Construction Systems	22
3.3.4 Innovation in Time	23
3.3.5 Environmental Innovation	25
3.3.6 Innovation in Performance	26
3.3.7 Building Information Modeling Management Innovation	27
3.3.8 Social Innovation	28
	31

3.4 Paradigm Shift: “Closed to Open” Innovation	
3.5 Summary	34
Chapter Four: Developing the State-of-art Framework to Open Framework	37
4.1 Introduction	37
4.2 Collaboration between state of art and Open Frameworks	37
4.2.1 Innovative Collaboration and Absorptive Capacity	38
4.2.2 Factors of Collaboration in Open Innovation	40
4.3 Inputs of Open Innovation	47
4.3.1 External Knowledge	47
4.3.2 Innovative Business Model	51
4.4 Drivers of Open Innovation	53
4.4.1 Pressures towards Open Innovation	53
4.4.2 Demanding towards Open Innovation	54
4.4.3 Technology Competence	55
4.5 Summary	67
Chapter Five: Empirical Study	68
5.1 Introduction	68
5.2 Innovation in the Egyptian Building Industry	69
5.2.1 Material Innovation	69
5.2.2 Components Innovation	70
5.2.3 Time Innovation	70
5.2.4 Technological Innovation:	71
5.2.5 Ecological Innovation:	71
5.2.6 Management Innovation	71
5.2.7 Regulatory Innovation	72
5.3 Innovative Collaboration in the Egyptian Context	72
5.4 Open Innovation Egyptian Framework	79
5.5 Limitations	90
Chapter Six: Discussion	92
Chapter Seven: Conclusion and Future Research	96
7.1 Conclusion	96
7.2 Future Research	101
References	102
Appendix	110

List of Tables

Table (3.1):	Innovation outputs categorization state-of-art	30
Table (3.2):	Innovation Framework Antecedents analysis format	34
Table (4.1):	Global Innovative Collaboration antecedents and outputs relationship	58
Table (5.1):	Contextual Innovative Collaboration and outputs relationship	76
Table (5.3):	The Egyptian Open innovation framework guidelines	88

List of Figures

Figure (2.1):	Prototype of the Phase Change facade system, built in Copenhagen	8
Figure (2.2):	The Eco-Curtain wind-harvesting façade by Inaba Electric, in, Japan	9
Figure (2.3):	The smart favela application interface	10
Figure (2.4):	The Co-City crowdsourcing, crowd-funding platform and application	10
Figure (2.5):	Airbnb business innovative model	11
Figure (3.1):	State-of-art Innovation Framework in Building Industry	18
Figure (3.2):	A three-dimensional form of carbon, resist high tension and pressure forces	19
Figure (3.3):	MIT's 3D printer invention	21
Figure (3.4):	National Institute of Building Sciences offsite system method	23
Figure (3.5):	Productivity improvement using LPS	24
Figure (3.6):	Percentage of respondents towards applying BIM	28
Figure (3.7):	Closed innovation model and Open innovation model	32
Figure (4.1):	Royal Botanic Gardens in Kew	41
Figure (4.2):	The first 3D printed bridge in Amsterdam	41
Figure (4.3):	Crowd-sourcing Device Applications Collage Sample	43
Figure (4.4):	Crowd-funding Device Applications Collage Sample	43
Figure (4.5):	The Beta fabric an innovative collaborative output	45
Figure (4.6):	State of art Framework Factors development	46
Figure (4.7):	The winning proposal for Low Panel Wall, using open innovative Urban Labs technique	50
Figure (4.8):	The State of art Framework Inputs Development	52
Figure (4.9):	The State of art Framework Drivers Development	57
Figure (4.10):	The Framework Open Innovative Process	61
Figure (4.11):	Collaboration deals stakeholders' antecedents, Author	63
Figure (4.12):	Open Innovation generation Framework in Building Industry, Author	66
Figure (5.1):	Impact of Contextual collaboration on Global Framework	78
Figure (5.2):	Collaboration deals	81
Figure (5.3):	External Knowledge Sources	81
Figure (5.4):	Funding Priorities	82
Figure (5.5):	Trusted entities	83
Figure (5.6):	Research Priorities	83
Figure (5.7):	Barriers of multinational collaborations	84
Figure (5.8):	Sharing and gaining external technology	84
Figure (5.9):	Client Involvement	85
Figure (5.10):	Data transfer technique	85
Figure (5.11):	Innovation Drivers	86
Figure (5.12):	Technology Drivers	86
Figure (5.13):	Required Competitions	87
Figure (5.14):	The Proposed Egyptian Open innovation framework	89

ABSTRACT

The building industry is globally recognized for its lack of innovation. Either in EU, with an overall innovation and R/D expenditure performance, or locally in Egypt where there is a complete absence of R/D. Accordingly in order to cope with the building industry market and to develop new technologies and process, innovation is always and become a key focus of different fields' development. In the process of searching for innovative ways to enhance competitiveness, many other fields start to adapt a new paradigm, a shift in innovation named by "open innovation" an innovative collaboration paradigm. While building industry innovation state of the art literatures focus mainly on an innovation framework that is developed from closed ordinary innovation practice and critical reviews does not consider the collaboration of open innovation paradigm yet. Critical reviews conclude that innovative collaborative is a critical factor to improve innovation performance in building industry. A quantitative survey by the Stichting Innovative & Arbeid reveals that the building industry sector is not a pioneer in the area of open innovation. Therefore the thesis aims to develop both a global open innovation framework generation antecedents and a contextual open innovation framework in the Egyptian context.

Firstly, the thesis investigates the state of art innovative framework antecedents (factors/inputs/drivers), through a selection of successful innovative collaborative projects, organizations and systems innovations in order to develop them to a more open collaborative state of art antecedents then deduct/add/modify, following a design science method to synthesis the outputs in order to draw the new open generation framework, the thesis also aims to develop it in order to promote this open innovation framework in the Egyptian building industry contextual field. Therefore the thesis investigates the innovative collaboration "open innovation" practice and literatures in the Egyptian building industry field in order to find the limitations and guidelines to test the global proposed framework on contextual case study participants through a conducted survey formed of a questionnaire on the innovation team at Daar El-Memaar Group. The thesis findings indicates that the proposed global collaborative innovation framework main factors mostly depends on R/D, 3D printing and international external collaboration while main input is public collaboration while BIM is considered a main technological collaborative driving forces tool for building innovation. While the contextual survey findings highlighted that the Egyptian building market has high demand for collaboration but not with governments or clients but more with users, public, research institutes and material manufacturers. Funding on mobile collaborative applications is proper for surveys, according to R/D is in a need for material and time management, sharing knowledge with supply chain and client are main inputs through an open portals, innovative platforms and crowdsourcing while BIM is major driver, while

demanding for innovative collaborative spaces is not common yet in addition to technological and time acceleration collaborative spaces is not common yet in addition to technological and time acceleration, durability, productivity and other pressures drive that context like economical which is a main driver than environmental and time acceleration.

Although novel materials, new business models and new design and build trends are emerging, yet tangible results of successful innovative works remain hidden inside projects. These results fail to translate to other projects and diffuse within their processes. Currently, many enterprises from different industries face increasing levels of competition. In the process of searching for ways to enhance competitiveness, many enterprises start to adapt a new paradigm shift in innovation named by “Open Innovation”. Literature in this area focuses on the building industry innovation framework mainly but does not develop an open framework yet. Critical reviews conclude that collaboration is a crucial factor to improve innovation performance in building industry. This thesis looks to develop those innovation framework antecedents following a design science method. This is to synthesize it with the open innovation antecedents and innovative collaborative activities in the building sector. The paper also aims to develop guidelines on how to promote this open innovation framework in the Egyptian building industry contextual field.