

شبكة المعلومات الجامعية التوثيق الإلكتروني والميكروفيلو

بسم الله الرحمن الرحيم





HANAA ALY



شبكة المعلومات الجامعية التوثيق الإلكتروني والميكرونيله



شبكة المعلومات الجامعية التوثيق الالكتروني والميكروفيلم



HANAA ALY



شبكة المعلومات الجامعية التوثيق الإلكترونى والميكروفيلم

جامعة عين شمس التوثيق الإلكتروني والميكروفيلم قسم

نقسم بالله العظيم أن المادة التي تم توثيقها وتسجيلها على هذه الأقراص المدمجة قد أعدت دون أية تغيرات



يجب أن

تحفظ هذه الأقراص المدمجة بعيدا عن الغبار



HANAA ALY



Studying Operating Room Utilization at Cardiothoracic Hospital, Ain Shams University

Thesis
Submitted for Partial Fulfillment of Doctoral Degree in
Public Health

By Shaymaa Mohammed El-Bokl

(MSc Public Health)
Assistant Lecturer at Department of Community, Environmental and Occupational Medicine
Faculty of Medicine - Ain Shams University

Supervisors

Prof. Aisha Mohammed Aboul-Fotouh

Professor at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

Prof. Ihab Shehad Habil

Professor at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

Prof. Ahmed Abdel-Aziz Ibrahim Saleh

Professor at Department of Cardiothoracic Surgery Faculty of Medicine - Ain Shams University

Asst. Prof. Azza Mohammed Hassan

Assistant Professor at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

> Faculty of Medicine Ain Shams University 2021



دراسة إستخدام غرف العمليات بمستشفى أمراض وجراحات القلب بجامعة عين شمس

رسالة توطئة للحصول على درجة الدكتوراه في الصحة العامة

مقدمة من الطبيبة/ شيماء محمد عبد الحميد البكل

ماجستير الصحة العامة مدرس مساعد بقسم طب المجتمع والبيئة وطب الصناعات - كلية الطب - جامعة عين شمس

تحت إشراف

أ.د. عائشة محمد أبو الفتوح

أستاذ بقسم طب المجتمع والبيئة وطب الصناعات كلية الطب-جامعة عين شمس

أ.د. إيهاب شهاد هابيل

أستاذ بقسم طب المجتمع والبيئة وطب الصناعات كلية الطب-جامعة عين شمس

أ.د. أحمد عبدالعزيز إبراهيم صالح

أستاذ بقسم جراحة القلب والصدر كلية الطب-جامعة عين شمس

أ.م.د.عزه محمد حسن

أستاذ مساعد بقسم طب المجتمع والبيئة وطب الصناعات كلية الطب-جامعة عين شمس

> كلية الطب جامعة عين شمس 2021

Acknowledgement

It is with the graciousness and help of **Allah almighty** that this work has been accomplished. So, praises be to Allah in the beginning and in the end.

For her outstanding support and valuable instructions, I wish to express my deepest gratitude and appreciation to **Prof. Or. Aisha Mohammed Aboul -Fotouh**, Professor of Community, Environmental and Occupational Medicine .In addition to her tremendous academic support, I do appreciate her patience, kindness and generosity on the human level.

My deep gratitude is to **Prof. Dr. Ihab shehad habil,** Professor of Community, Environmental and Occupational Medicine for his genuine interest, valuable instructions. I am deeply grateful to his guidance and encouragement.

I would like to thank **Prof. Dr. Ahmed Abdel-Aziz Saleh**, Professor of Cardiothoracic Surgery and General Manager of Ain-Shams University Cardiothoracic hospital for his generous help and scientific support all through this work.

I would like to express my sincere gratitude to **Asst. Prof. Dr. Azza Mohammed Hassan**, Assistant Professor of Community, Environmental and Occupational Medicine for her kind guidance, valuable instructions and support from the start to the end.

My deepest thanks to **Dr. Fadel Hassan**, Operating Room Manager, **Mr. Mokhtar Azab**, Operating Room Secretary and **Dr. Mona Sorour**, Quality Manager in Ain-Shams Cardiothoracic Hospital whom without their help, this work would have never been completed.

Really, I can hardly find words to express my gratitude to my mother and mentor **Prof. Dr. Mahi El Tehewy** and to my **Husband** and **children** for all the sacrifices they have made on my behalf.

Finally, I would like to dedicate this work to the memory of my father, who has left me an endless legacy of love, kindness and respect.



List of Contents

	Page
Acknowledgment	
List of Abbreviations	i
List of Figures	ii
List of Tables	iii
Abstract	v
Protocol	a
Introduction	1
Aim of the Study	4
Review of Literature	5
Chapter 1: Operating Room Time Utilization	5
Chapter 2 : Elective Operation Cancellation	29
Chapter 3: Improving Operating Room Time Utilization	40
Subjects and Methods	55
Results	63
Discussion	93
Conclusion	110
Recommendations	111
Summary	112
References	116
Appendices	129
Arabic Summary	

List of Abbreviations

ASU	Ain-Shams University
СТН	Cardiothoracic Hospital
СМР	Case Mix Problem
DMAICS	Define, Measure, Analyse, Improve And Control
HIV	Human Immune Deficiency
HBsAg	Hepatitis B Surface Antigen
ICU	Intensive Care Unit
MSSP	Master Surgery Scheduling Problem
NHS	National Health System
OR	Operating Room
PDSA Cycle	Plan Do Study Act Cycle
PDCA Cycle	Plan Do Check Act Cycle
SSP	Surgery Scheduling Problem
ТОТ	Turn Over Time
ТРОТ	The Productive Operating Theatre
USA	United States of America

List of Figures

Fig. No.	Title	Page No.			
	Literature Review List of Figures				
i	Utilization Patterns: (I) underutilization, (II) overutilization, (III) ideal utilization, (IV) simultaneous under and overutilization.	9			
ii	The Three ORs Scheduling Problems and Decision Levels.	14			
iii	Key Influences within the elective perioperative environment.	41			
iv	PDSA Cycle.	53			
v	The improvement Ramp.	54			
	Results List of Figures				
1	A High-Level Flow Chart Showing the Process from Admission to Surgery in Ain-Shams Cardiothoracic Hospital.	64			
2	Pareto Chart Showing Causes of Delays among Elective Operations in Ain-Shams Cardiothoracic Hospital.	79			
3	Vital few causes of Elective Case cancellation in Operating Rooms of Ain-Shams University Cardiothoracic Hospital.	87			

List of Tables

Table	List of Tables	Page
No.	Title	No.
	Literature Review List of Tables	
i	Activities, Roles and Responsibilities during Turnover	18
	Time.	
ii	Preventive Strategies for hospital cancellation reasons.	38
iii	Macario's Scoring System for measuring OR	50
	Efficiency.	
	Results List of Tables	
1	Distribution of Studied Operations among the Three	65
	Sub-Specialties and Working Days of the Week in Ain-	
	Shams University Cardiothoracic Hospital.	
2	Distribution of Studied Operations according to List	66
	and Actual Rank in Operative Department of Ain-	
	Shams University Cardiothoracic Hospital.	
3	Agreement between Ranks of operations in the	67
	operative list versus their Actual Ranks as performed in	
	Ain-Shams University Cardiothoracic Hospital.	
4	Comparison between Total and Individual Operating	68
	Room Raw Time Utilization Mean Percentages in Ain-	
	Shams University Cardiothoracic Hospital.	
5	Comparison between Operating Room Raw Time	69
	Utilization Percentages according to Subspecialty in	
	Ain-Shams University Cardiothoracic Hospital.	
6	Comparison between Total and Individual Operating	70
	Room Adjusted Time Utilization Percentages in Ain-	
	Shams University Cardiothoracic Hospital.	
7	Comparison between Operating Room Adjusted Time	71
	Utilization Percentages according to Sub-specialty in	
	Ain-Shams University Cardiothoracic Hospital.	
8	Comparison between Operating Room Adjusted Time	72
	Utilization according to Working Days of the Week in	
	Ain-Shams Cardiothoracic Hospital.	
9	Comparison between Patterns of Adjusted Time	73
	Utilization in Operating Rooms of Ain-Shams	
	Cardiothoracic Hospital.	

Table No.	Title	Page No.
10	Comparison between Patterns of Adjusted Time	74
	Utilization according to Sub-specialty in Operating	
	Rooms of Ain-Shams Cardiothoracic Hospital.	
11	Delays in Starting Scheduled Operations (Adds-on	75
	excluded) in Operating Rooms of Ain-Shams	
	Cardiothoracic Hospital.	
12	Distribution of Scheduled First Run Cases Delays	76
	among Working Days of the Week in Operating	
	Rooms of Ain-Shams Cardiothoracic Hospital (Adds-	
12	on excluded).	
13	Delay Interval (in Minutes) in Operating Rooms of	77
1.4	Ain-Shams Cardiothoracic Hospital.	70
14	Comparison between First Runs and Subsequent Runs	78
	regarding Delay Interval (in Minutes) in Operating	
15	Rooms of Ain-Shams Cardiothoracic Hospital. Median Time Delay (in Minutes) for the Top 5 Causes	80
15	of delay including the Vital Few Causes in Operating	80
	Rooms of Ain-Shams Cardiothoracic Hospital.	
16	First Call to Sign-In Interval (in Minutes) in Operating	81
	Rooms of Ain-Shams Cardiothoracic Hospital.	01
17	Sign-In to Operation start Interval (in Minutes) in	82
	Operating Rooms of Ain-Shams Cardiothoracic	
	Hospital.	
18	Operating Room Cleaning Time (in Minutes) in Ain-	83
	Shams Cardiothoracic Hospital	
19	Turn Over Time as measured (in Minutes) in Operating	84
	Rooms of Ain-Shams Cardiothoracic Hospital.	
20	Turn Over Time (in Minutes) according to Sub-	85
	specialty in Operating Rooms of Ain-Shams	
	Cardiothoracic Hospital.	
21	Distribution of Elective Case Cancellation among	86
	Sampled Operations at Ain-Shams University	
	Cardiothoracic Hospital.	
22	Multiple Linear Regression showing Factors affecting	88
	Adjusted Time Utilization at Ain-Shams	
	Cardiothoracic Hospital.	

Abstract

Background: Running an operating room is a very expensive process. Meanwhile, operating room revenue represent the biggest share in hospital revenue, hence comes the importance of proper operating room utilization. Cardiothoracic surgeries differ from other surgeries in that they are usually very long and expensive especially in a tertiary level facility. However, studies of time utilization in cardiothoracic services are scarce. Objectives: This study aims to calculate operating room utilization indices in Ain Shams Cardiothoracic surgery hospital and to identify factors affecting it as well as to plan to improve operating room utilization in the hospital. Methods: This is a cross-sectional study that surveyed 286 operations in Ain Shams University Cardiothoracic hospital in Egypt. Results: Total mean adjusted utilization percentage for the five operating rooms was 68.1 ± 21.9 . Underutilization was the most frequent pattern representing 69% of 142 operating room days. Only 15.5% of operating room days reached optimum utilization levels and 9.9% exceeded to overutilization levels. 72.9% of surgeries in our sample had a delayed start with median delay time of 30 minutes. The vital few causes for operation delay were "awaiting surgeon" (34.2%) and "awaiting anesthetist" (17.7%). Total cancellation rate is 21.3% while the vital few causes are "patient prepared as stand by" "previous long operation" "change in Patient's clinical status", "equipment failure" and "intensive care unit bed unavailable". Different remedies for delay and cancellation vital few causes were identified. Conclusion: All Operating rooms of the cardiothoracic hospital are underutilized with an opportunity for improvement. Pediatric cardiosurgery shows the best utilization. Cancellation frequency as well as sub-specialty are independent factor adjusted time utilization at the studied hospital. **Recommendations:** It is recommended to prepare an action plan for the proposed remedies. Also, to establish a monitoring and feedback system for time utilization, elective operation cancellation and delay. Establishment of a surgery booking system based on predicted case duration is also highly recommended.





Studying Operating Room Utilization at Cardiothoracic Hospital, Ain Shams University

Protocol of Thesis Submitted for Partial Fulfillment of Doctoral Degree in **Public Health**

By Shaymaa Mohammed El-Bokl

(MSc Public Health) Lecturer Assistant at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

Supervisors

Prof. Aisha Mohammed Aboul-Fotouh

Professor at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

Prof. Ihab Shehad Habil

Professor at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

Prof. Ahmed Abdel-Aziz Ibrahim Saleh

Professor at Department of Cardiothoracic Surgery Faculty of Medicine - Ain Shams University

Dr. Azza Mohammed Hassan

Lecturer at Department of Community, Environmental and Occupational Medicine Faculty of Medicine - Ain Shams University

> **Faculty of Medicine Ain Shams University** 2016



Introduction:

Healthcare costs are expensive and represent an economic burden worldwide on individual, organizational and national levels. In Egypt, healthcare costs per capita increased from 37\$ in 1995 to 178\$ in 2014 (World Bank, 2016). Logically, as the technology use in healthcare as well as complexity of cases and care level increase, the costs also increase. Wasting of resources adds to the problem. Running an operating room (OR) is a very expensive process. In hospitals of the united states it is estimated that one minute of operating room time costs 62\$ (Shippert, 2005). Meanwhile, OR revenue represents the biggest share in hospital revenue, hence come the importance of proper OR management (Marjamaa et al., 2008).

One of the goals of OR management is proper OR utilization which is classically defined as "the ratio of the total OR time used to the total OR time allocated or budgeted" (Strum et al., 1999). The previous definition represents the raw utilization. Strum et al. also defined both concepts of "overutilization" and "underutilization". Each of under- and overutilization affect the economic efficiency of OR, and thus utilization is targeted to be 85%. However, in complex OR it may be less (Tyler et al., 2003).

Utilization can be applied to measure the whole use of available hours for operations "prime time utilization" or the use of time blocked by a certain surgeon or service "block time utilization". Moreover, there are different forms of utilization; raw utilization counts only the time that a patient

is in the OR when calculating room use, and it does not consider the necessity of the turnover time that is the time from previous patient out of the OR to next patient in the OR including setup and cleanup. This is corrected by Adjusted Utilization whose calculations considers the turnover time (Fixler & Wright, 2013).

Arcidiacono et al. 2015, identified seven important factors that affect OR utilization; 1) Workday of surgery: due to different schedule of each day. 2) Block utilization: it is expected that actual utilization is high if block utilization is found to be high. 3) First-case delay: which is the difference between the scheduled and the actual start time of the first case, it results in underutilization. 4) Cancellation hours; usually this result in underutilization, 5) Total same-day addon case duration and number of add-ons: opposite to cancellation, this may result in overutilization.6) Number of turnovers and turnover duration: long turnover increases adjusted utilization.7) Number of completed cases: The more cases are scheduled, the more OR prime hours are filled.

Cardiothoracic hospital (CTH) is a new hospital of Ain Shams University as it was inaugurated in 2009, it has the capacity of 200 beds, of which 120 are functioning. It has 5 operating rooms with an average of 1500 surgeries being performed per year. The hospital is engaged in the process of accreditation as it achieved the basic level of Egyptian Accreditation Program in 2015. This will facilitate data collection and the commitment to process improvement. The Raw OR utilization of the hospital during august 2016 was roughly 46%. This figure represents a large gap to optimum